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Digital technology, tele-medicine and artificial intelligence in ophthalmology: A global perspective

Ji-Peng Olivia Li, Hanruo Liu, Darren S.J. Ting, Sohee Jeon, R.V.Paul Chan, Judy E. Kim, Dawn A. Sim, Peter B.M. Thomas, Haotian Lin, Youxin Chen, Taiji Sakomoto, Anat Loewenstein, Dennis S.C. Lam, Louis R. Pasquale, Tien Y. Wong, Linda A. Lam, Daniel S.W. Ting



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Digital Technology, Tele-Medicine and Artificial Intelligence in Ophthalmology: A Global Perspective

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All listed authors meet the criteria for authorship agreed upon by the International Committee of Medical Journal Editors and are in agreement with the content of the manuscript.

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Abstract (247 words)

The simultaneous maturation of multiple digital and telecommunications technologies in 2020 has created an unprecedented opportunity for ophthalmology to adapt to new models of care using tele-health supported by digital innovations. These digital innovations include artificial intelligence (AI), 5th generation (5G) telecommunication networks and the Internet of Things (IoT), creating an inter-dependent ecosystem offering opportunities to develop new models of eye care addressing the challenges of COVID-19 and beyond. Ophthalmology has thrived in some of these areas partly due to its many image-based investigations. Tele-health and AI provide synchronous solutions to challenges facing ophthalmologists and healthcare providers worldwide. This article reviews how countries across the world have utilised these digital innovations to tackle diabetic retinopathy, retinopathy of prematurity, age-related macular degeneration, glaucoma, refractive error correction, cataract and other anterior segment disorders. The review summarises the digital strategies that countries are developing and discusses technologies that may increasingly enter the clinical workflow and processes of ophthalmologists. Furthermore as countries around the world have initiated a series of escalating containment and mitigation measures during the COVID-19 pandemic, the delivery of eye care services globally has been significantly impacted. As ophthalmic services adapt and form a “new normal”, the rapid adoption of some of telehealth and digital innovation during the pandemic is also discussed. Finally, challenges for validation and clinical implementation are considered, as well as recommendations on future directions.

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104 **Abstract (247 words)**

105

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107 in 2020 has created an unprecedented opportunity for ophthalmology to adapt to
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122 measures during the COVID-19 pandemic, the delivery of eye care services globally
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124 normal”, the rapid adoption of some of telehealth and digital innovation during the
125 pandemic is also discussed. Finally, challenges for validation and clinical
126 implementation are considered, as well as recommendations on future directions.

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146 Introduction

147

148 2020 marked the synchronous maturation of several key digital innovations in
149 information and communications technology, which advanced at an unprecedented
150 rate this new century. Every sector and industry, including healthcare, has been
151 impacted by digital transformation. Digital innovations including the further
152 consolidation of tele-health, the development of 5th generation wireless networks
153 (5G), artificial intelligence (AI) approaches such as machine learning (ML) and deep
154 learning (DL), and the Internet of Things (IoT), as well as digital security capabilities
155 such as blockchain, have created an extraordinary ecosystem for new opportunities
156 in healthcare and other industries (Ting, Lin, et al. 2020). These developments could
157 potentially address some of the most urgent challenges facing health service
158 providers and policy makers, including universal, equitable, sustainable healthcare
159 coverage to a growing, ageing population. They can fundamentally change
160 screening, diagnosis and monitoring of diseases, enable more accurate profiling of
161 disease progression and further refine and/or personalise treatments.

162

163 Against this backdrop, 2020 has also been dominated by an unprecedented global
164 crisis: the COVID-19 pandemic caused by severe acute respiratory syndrome
165 coronavirus 2 (SARS-CoV-2). Since its emergence in Wuhan, China in late 2019
166 (Parrish, Stewart, and Duncan Powers 2020), within months, on 11 March 2020, the
167 World Health Organization (WHO) has announced COVID-19 was a “pandemic”
168 (World Health Organization 2020). With the non-linear rapid disease expansion,
169 COVID-19 has caused widespread healthcare, socio-political and economic impact
170 (Kuo et al. 2020; Siegel 2020; Berlinger 2020). Countries and healthcare systems
171 around the world have been forced to rapidly adapt to tele-health and digital
172 innovations to mitigate the impact of the risk of virus transmission to what is widely
173 regarded as the “new normal”.

174

175 This article summarises digital technologies that may be applied in ophthalmology
176 with attention to how they are apply to tele-health. A review of different tele-health
177 models and the use of AI that are applicable to the delivery of ophthalmic services
178 and more specifically how it is already incorporated in the management of diabetic
179 retinopathy, retinopathy of prematurity, glaucoma, age-related macular degeneration,
180 refractive error correction and prediction, anterior segment diseases and cataract is
181 presented. The variation of global practices in teleophthalmology implementation and
182 adoption, and potential challenges for implementation teleophthalmology and AI is
183 discussed. Finally, this review proposes how ophthalmology may adapt to the “new
184 normal” using tele-health and digital innovations considering the COVID-19
185 pandemic.

186

187 1. World Health Organization (WHO) Guidelines for Digital Health

188

189 In 2019, WHO started developing a framework for the adoption of digital innovations
190 and technology in healthcare. The WHO recommendations on digital interventions in
191 healthcare promotes assessment on the basis of 'benefits, harms, acceptability,
192 feasibility, resource use and equity considerations', and views these tools as still
193 very much that – tools – in the journey to achieving universal health coverage and
194 sustainability (World Health Organisation 2019).

195
196 There are several digital interventions that have been prioritised for review by the
197 WHO. Of relevance to this discussion are: the use of client-to-provider telemedicine
198 to complement health service delivery; the use of provider-to-provider telemedicine;
199 targeted customised health information transmission; health worker decision making
200 support; digitised health information tracking; and education. In all these scenarios,
201 the review highlights the need for monitoring of patient safety, privacy, traceability,
202 accountability and security, with plans in place to address any breaches. Processes
203 for these have been innate within the pharmaceutical and other medical devices
204 industries, and new technological entrants to this traditional sector should consider
205 these during development of the services. There will also be ethical conundrums that
206 have yet to be articulated and debated. The engaged clinician should seek to be
207 involved in the development of these new advances to closely align any innovations
208 to solve unmet clinical needs. Simultaneously, clinicians should examine if any
209 innovation complies with quality, ethical, and sustainable healthcare, as legislation
210 invariably lags behind such momentous leaps in innovation.

211 212 **2. Digital Technology**

213 214 **2.1 Telemedicine**

215
216 Telemedicine enable clinicians to evaluate their patients remotely. This can be
217 desirable for several reasons. First, telemedicine can facilitate more efficient and
218 equitable distribution of limited healthcare resources. This allows delivery of care to
219 distant areas where there is a shortage of doctors and other professionals, reduces
220 travel and the associated carbon footprints, and connects patients with rare diseases
221 to speciality care and address the transport challenges some patients face. Waiting
222 times could be reduced through increased capacity and access to care for both
223 chronic and acute disease patient. In the acute setting, patients could receive
224 immediate specialist input even if one is not available locally.

225
226 Second, amid the COVID-19 pandemic and in mitigating infection risk in the
227 healthcare setting, real-time telemedicine has been rapidly incorporated into routine
228 care delivery. The patient population telemedicine aims to serve is no-longer focused
229 on targeting remote regions. Instead it is rapidly becoming a new standard of care. It
230 enables triaging prior to patients' arrival into hospital to avoid unnecessary visits and
231 exposure risks and has been adopted by multiple centres across the world

232 (Hollander and Carr 2020; Ting, Carin, et al. 2020; Wickham et al. 2020; Bourdon et
233 al. 2020).

234

235 Third, video-consultations in combination with innovative service design already exist
236 that further limits patient journeys and clinic visits whilst maximising the quality of the
237 telemedicine consultation. In Scotland, optometric practices have been set up
238 strategically across some regions to provide primary eye care services (NHS
239 Scotland 2020). Smart phones attached to slit-lamps enable ocular biomicroscopic
240 videography, empowering ophthalmologists to view the patient's examination
241 features in real-time without the patient attending. Also, simplification of image
242 sharing of data such as OCT scans can be achieved by screen sharing, which has
243 long been a challenge both within ophthalmology and in radiology due to the variety
244 of available formats and software.

245 A movement away from traditional clinic visits might be further aided by the use of
246 home devices used in the monitoring of visual acuity, visual fields, and intraocular
247 pressure (Ittoop et al. 2016; Anderson et al. 2017; Amirsolaimani et al. 2017;
248 Ciuffreda and Rosenfield 2015; Wisse et al. 2019), though the more complicated
249 devices such as tonometers may be prohibitively expensive.

250

251 Effective tele-screening programmes require multiple components. First, there
252 should be a reliable, cost-effective and operator-friendly data gathering system. A
253 preferred goal is to achieve longitudinal consistency of data format to facilitate
254 comparisons. The device itself should be simple, with mechanisms in place to
255 facilitate data transmission to the IoT. Ideal designs should involve networks where
256 multiple, simpler devices can communicate with a central station. System updates
257 would involve the central stations to enable streamlined logistics and cost efficiency,
258 particularly if the network has widely dispersed simpler devices.

259

260 Second, the data must be processed and enabled to identify the disease of interest.
261 The most frequently adopted model at present is the use of trained persons to read
262 the collected images, as in diabetes tele-retinal screening programmes. Whilst larger
263 numbers can be screened this way in comparison to direct clinician reviews, it
264 remains a costly and resource intensive process involving highly trained graders.
265 While DL is starting to be incorporated to this process, the potential benefits from this
266 adaptation are unknown. Regulatory bodies recognise the potential of AI in
267 healthcare, and the FDA has approved the use of an AI algorithm for the diagnosis of
268 DR in the primary care setting (Abramoff et al. 2018).

269

270 Finally, the outcome must be conveyed in a timely manner to the patient and the
271 healthcare provider to facilitate appropriate medical management. This
272 communication again could involve a clinician consultation, but most normal
273 outcomes may be communicated in an automated manner such as via a smart
274 phone app or text message.

275

276 Beyond simply replicating current services albeit remotely, the collection, storage
277 and transmission of offer the potential of combining telemedicine with AI. When used
278 prospectively with longitudinal data, vast swathes of new knowledge such as disease
279 progression and real-world, real-time incidence calculation could be harnessed. If
280 well adopted, the data collected would enter the realms of big data, and far exceed
281 the capabilities of data capture that most individual studies are able to achieve.
282 Moreover, this could grow into a consistent source of longitudinal data which would
283 be valuable in the development of disease progression forecasting capabilities,
284 incorporating AI.

285

286 **2.25th Generation (5G) telecommunications**

287

288 5G wireless communications was designed to meet the challenges of serving large-
289 scale complex network connections. These networks have extremely low latency,
290 higher capacity, and improve the speed of data transmission through the use of
291 higher frequency millimetre waves compared to existing networks (Simko and
292 Mattsson 2019). Latency in 5G transmission can be less than 1 millisecond of delay
293 compared to about 70 milliseconds on the 4G network, and give significant
294 improvement to the users' perception of the service (Samsung 2015). Download
295 speeds on 5G networks can be increased 20 fold from the current 1 gigabit per
296 second on 4G (Nordrum, Clark, and staff. 2017). And all this magnitude increase in
297 function whilst simultaneously reducing energy consumption by the connected
298 devices (Agiwal, Roy, and Saxena 2016). 5G networks will deliver an end-to-end
299 latency of less than 5 milli-seconds and over-the-air latency of less than one
300 millisecond - which is one-tenth of the 4G network latency (Samsung 2015).

301

302 5G utilises small cells, which are miniature base stations that have low power
303 requirements. However, because 5G transmits at higher frequencies, signal
304 attenuation becomes a greater challenge, and these base stations need to be placed
305 closer than 4G base stations (every 250 meters or so) (National Academies of
306 Sciences et al. 2019). To ensure consistent signal transmission, base stations will
307 need to be densely populated. Despite the base stations being smaller in size, the
308 increased infrastructure needs of a 5G network with these cells will not be practical
309 in sparsely populated rural regions. Thus whilst telemedicine has been traditionally
310 regarded as being able to contribute to healthcare delivery to these areas in a
311 meaningful way, it may in fact continue to exclude those who already struggle to
312 access physical care.

313

314 In addition to being able to support increasing bandwidth demands from users and
315 patients, 5G enables Ultra-High-Definition (UHD) multimedia streaming with
316 enhanced user experience. The high-resolution images can be more easily
317 transferred. Better quality and reliable video-consultations with improved patient
318 experience may contribute to forging better physician-patient relationship. Real-time
319 slitlamp examinations streamed in high-definition has the potential to become

320 common place. With imperceptible latency, the clinician could control a slit-lamp
321 remotely whilst looking at a mobile device displaying the eye being examined
322 remotely. The immersive experience promised by 5G can also be used to augment
323 the learning experience, particularly the visually-based tasks such as surgery.

324

325 Despite these great expectations, 5G will not be the panacea for all connectivity
326 challenges. The reported speeds assume that every network is using 5G, but not
327 surprisingly the implementation of 5G will be gradual as new cells are built and
328 installed. This incremental adoption of expensive infrastructure means that the
329 network will need to remain compatible with legacy networks, and with other
330 operators who may be implementing at a different speed (Rashid 2020).

331

332 In being compatible, and with the networks essentially being a patchwork of wireless
333 connections incorporating various generations, the same vulnerabilities found in
334 older generation networks will remain. Well-knowns flaws of the data packet
335 transmission protocol that is used across the different generations of networks, the
336 General Packet Radio Service (GPRS) Tunneling Protocol (GTP), include not
337 validating users' physical location permitting attackers to spoof locations and
338 allowing attackers to impersonate other users or use false credentials, so the
339 impersonated subscriber is charged for costs incurred. Attackers can block all
340 connections stemming from a single node so legitimate subscribers cannot access a
341 connection in the given geographical region, in a denial-of-service attack (Rashid
342 2020). The most basic requirements of connectivity in healthcare are security and
343 reliability, and despite the impressive numbers 5G promises, it may be still some
344 time before these two basic tenets are consistently achieved.

345

346 **2.1.1 5G and the COVID-19 pandemic**

347

348 The lockdown orders across the world has brought a sudden strain on existing
349 cellular networks. As countries responded, work, education, healthcare, and most
350 other human interactions were suddenly pushed onto the virtual arena. The
351 pandemic has shown that telemedicine is not only reserved for the remote and
352 underserved. In fact, telemedicine can routinely serve the wider population if it can
353 be shown to be safe, efficient, and inclusive, with measures to ensure security,
354 robustness and capacity, particularly in densely populated regions with massive
355 competing demands for bandwidth.

356

357 Though few examples currently exist, 5G telemedicine has already been
358 implemented. In China, the successful utilisation of a 5G telemedicine network was
359 reported in Sichuan province (Hong et al. 2020). The newly established China
360 Telecom 5G Dual Gigabit system covered all 208 designated COVID-19 hospitals in
361 the province, with a single hospital as the central node. Real-time video telemedicine
362 service allowed multidisciplinary management of COVID-19 patients with
363 simultaneous review of CT imaging by experts remotely. 5G contributed to the

364 quality of video transmission and the accessibility of experts are reported to have
365 contributed to the lower case fatality ratio in Sichuan compared to Hubei and the
366 global average. Additionally, the authors report remote control of CT equipment by
367 experts at the central hospital, overcoming shortages of qualified technicians and
368 ensuring quality images.

369

370 **2.1.2 6G**

371

372 6G research and development has already been launched, with anticipated launch in
373 the next decade (Samsung 2020). Both humans and machines will use 6G which will
374 allow for truly immersive extended reality (XR) and high-fidelity mobile hologram
375 which could have enormous implications for healthcare. 6G will address the issues of
376 limited computational power of mobile devices through flexible integration of entities
377 within the networks. Additionally it is set to address much of the security and privacy
378 challenges associated with increasing data collection and sharing.

379

380 **2.3 The Internet of Things (IoT)**

381

382 Over the last decade the number of mobile devices has surpassed the global
383 population figure (Simko and Mattsson 2019). Thus, there is simultaneously
384 increasing interconnection between devices and machines, maintaining connections
385 without deliberate human intervention. This network is referred to as the Internet of
386 Things, to differentiate it from the traditional internet which connects people. It is the
387 network of physical objects embedded with sensors and the ability to transmit and
388 process data, communicating with other machines or humans, frequently in an
389 automated fashion. The current networks serve to connect individuals, but as
390 individuals begins to wear health monitoring devices such as smart watches, live in
391 smart homes with connected fridges and heating systems, wireless metering, mobile
392 payments and commute in smart cities in driverless cars, the capacity needed on the
393 networks increases exponentially. 5G is designed to support this ubiquitous
394 connectivity that will truly enable IoT, virtually connecting every aspect of human
395 lives. Connected devices are predicted reach around 500 billion, that is around 59
396 times the then projected human population, by 2030 when mass commercialization
397 of 6G is anticipated (Samsung 2020).

398

399 This connectivity can change healthcare services. When a patient enters a clinic,
400 their arrival can automatically be registered from their personal devices, and their
401 clinical journey once in hospital can be streamlined to minimise wait times. For
402 instance, directing the patient first for an OCT scan if there is a long wait for visual
403 fields. New clinical data including images such as OCTs will be automatically
404 uploaded into the patient's EHR, and integration with automation may trigger alerts
405 or make new diagnosis. The patient's drug histories will be current, drug interaction
406 warnings issued, and new prescriptions could be dispensed locally or delivered to
407 the patient instead of waiting in queue. Healthcare records from different providers

408 could be integrated to form an update summary so all clinicians will have an
409 overview of the patient's most recent healthcare interactions. Lifestyle tracker data
410 may be integrated into healthcare data, such as activity levels and diabetic
411 retinopathy screening. Individual surgeon's preferences can be stored on the IoT
412 cloud, so phacoemulsification settings would automatically adjust for surgeons
413 operating at different sites. Workflow efficiency in clinics and operating rooms can
414 improved, and there is potential for reducing errors such as intra-ocular lens related
415 errors, with increased automation. Lens stock can also be updated automatically,
416 reducing administrative burden and surgeries being cancelled due to lack of stock,
417 particularly for premium lenses. Increased automation can potentially reduce
418 healthcare errors by moving away from less effective human orientated processes
419 such as training, policies and checklists. The WHO checklists could be superseded
420 by IoT linking the patient's mobile device with the operating room, automated
421 delivery of the chosen intraocular lens, and other connections that minimise human
422 intervention.

423

424 With the IoT, vast volumes of data are being generated. Big data can be used for
425 monitoring, but potentially, combined with big data processing and AI, data output
426 can enable prediction and optimisation of existing functions. The transmission of this
427 data and fundamentally what is enabling the potential of the IoT is a massive shift in
428 communications technology and 5G networks. Additionally, advances in edge
429 processing, that is processing of the data at the place where each device is located,
430 allows for reduced latency, and less dependence on network bandwidth and
431 availability, and potentially enhanced security.

432

433 **2.4 Artificial intelligence, machine learning and deep learning**

434

435 The concept of Artificial Intelligence (AI) was first discussed in 1956 (McCarthy,
436 Minsky, and Shannon 2006), referring to technology used to mimic human behaviour.
437 Since then, the field has made remarkable strides in development. As a subfield of
438 AI, Machine Learning (ML) was conceptualised by Arthur Samuel in 1959 (Samuel
439 2000). He emphasised the importance for systems to learn from experience
440 automatically instead of being programmed. In the 1980s, ML demonstrated great
441 potential in computer foresight and predictive analytics, including clinical practice and
442 machine translation (Bengio, Courville, and Vincent 2013). Deep Learning (DL), a
443 subfield of ML, has ushered in new breakthroughs in information technology. DL may
444 study underlying features in data from multiple processing layers using neural
445 networks, similar to the human brain (LeCun, Bengio, and Hinton 2015). Since the
446 2010s, DL has garnered immense attention in many fields, especially in image
447 recognition and speech recognition (Schmidhuber 2015). In medical practice, DL is
448 effective in image-centric specialties, proving itself by detecting pulmonary
449 tuberculosis from chest radiographs and malignant melanoma from digital skin
450 photographs (Lakhani and Sundaram 2017; Esteva et al. 2017).

451

452 Conventional diagnostic methods for ophthalmic diseases depend on the clinical
453 assessment and, increasingly, image-capturing devices of various modalities. This
454 process is time-consuming and costly, but also makes ophthalmology one of the
455 specialities particularly well-suited to DL techniques and its real-world application.
456 The application of DL to ophthalmic images, such as digital fundus photographs and
457 visual fields, has been reported to achieve the automated screening and diagnosis of
458 common vision-threatening diseases, including diabetic retinopathy (DR) (Abramoff
459 et al. 2016; Gulshan et al. 2016; Raumviboonsuk et al. 2019; Ting, Cheung, et al.
460 2017), glaucoma (Liu et al. 2019; Li, He, et al. 2018; Masumoto et al. 2018a; Asaoka
461 et al. 2016), age-related macular degeneration (AMD) (Grassmann et al. 2018;
462 Burlina et al. 2017) and retinopathy of prematurity (ROP) (Brown et al. 2018) with
463 high accuracy. As such, DL may prove to be a valuable and viable adjunct to the
464 existing diagnostic processes, and there may be a role for it to serve as an
465 alternative to ophthalmologists and trained human image graders.

466
467 Recently, new DL algorithms were adopted for use on optical coherence tomography
468 (OCT) images (Medeiros, Jammal, and Thompson 2019; Schlegl et al. 2018; Kapoor,
469 Whigham, and Al-Aswad 2019), which may increase the sensitivity of detection at
470 the early stage of disorders, especially in AMD and DR with the detection of diabetic
471 macular oedema (Bogunovic et al. 2017). The integration of DL into ophthalmology
472 practice is expected to revolutionise the current disease management process,
473 improve early detection and there are hopes that it will ultimately improve outcomes
474 (Balyen and Peto 2019; Tan, Scheetz, and He 2019), although the cost-effectiveness
475 of these systems remain unclear (Xie, Nguyen, and Hamzah 2020; Dismuke 2020).

476
477 With the potential of AI and DL to make inroads in ophthalmic delivery services, it is
478 incumbent upon the clinician to critically assess how these innovations work and
479 when they might be safely implemented into clinical practice.

480

481 **2.5 Home monitoring devices, augmented and virtual reality**

482

483 5G, and in time 6G, will support virtual reality (VR) where a simulated presence is
484 generated by computer graphics and allows users to interact with the simulated
485 elements in a seemingly real way.

486

487 Augmented reality, where computer-aided information is generated and graphically
488 augmented to the display real-time, can also have broad implications for healthcare.
489 Counselling patients and pre-operative consent can likely be enhanced with
490 augmented reality, and non-clinical functions in hospitals such as navigation, in
491 particular for visually-impaired patients.

492

493 The current landscape in terms of use of VR and AR in ophthalmology is nascent.
494 VR creates a digital experience where the user environment is immersive. In the VR
495 environment, the user usually wears a wrap-around headset that limits peripheral

496 vision. AR blends digital information with real-world environmental data, enabling
497 users to interact with digital images and view the actual physical surroundings
498 simultaneously. AR integrates virtual objects into a real-world space, whereas VR
499 usually blocks out information from the actual environment and transports users into
500 a virtual simulated world (Pietro, Irene, and Mariano 2018).

501

502 Within the past decade, VR devices such as IrisVision™, and NuEyes™ have been
503 used to aid patients with visual impairment(Deemer et al. 2018). IrisVision™ VR
504 headset holds a smartphone that records a patient's surroundings and displays the
505 image in the peripheral vision and can also magnify the image. NuEyes™ used a VR
506 immersive system to magnify images but is no longer in production. The main
507 limitation of VR for patient use is the occlusive and digitally immersive nature of the
508 headsets. The user cannot visualize the peripheral environment well and thus
509 precludes safe use while ambulating or moving while wearing a VR headset.

510

511 The advantage of AR over VR for purposes for visual rehabilitation is significant, as
512 AR allows patients to maintain peripheral vision and interact in the real-world
513 environment with digital enhancement. The Oculenz™ AR headset enables patients
514 to view the image normally blocked within the scotoma of each eye to be visualized
515 by adjacent functional retina, using image remapping strategy. Studies have shown
516 that remapping may be helpful to improve vision, especially in reading (Gupta et al.
517 2018). Oculenz™ AR platform (**Figure 1**) has embedded 4K cameras and algorithms
518 that delineate the scotoma in each eye and remaps the previously missing image
519 onto healthy neighboring retina. The mapping algorithm customizes the image
520 placement as the disease changes. In order for remapping to work effectively, the
521 display image needs to be stabilized on the adjacent retina regardless of gaze
522 direction(Deemer et al. 2018). Oculenz is able to maintain alignment of the eye gaze
523 and the projected image with patented eye tracking technology. While still in
524 development, early patient trials show this device can improve 4-5 lines on Snellen
525 chart after one use without magnification. The final version of the headset is slated to
526 be available in 2021.

527

528 A few home monitoring systems have been developed for ophthalmic applications.
529 Currently ForeseeHome™ and PsyPad™ are non-VR/AR platforms that can monitor
530 patients with AMD (Chew et al. 2016; Adams et al. 2018). A novel method for AMD
531 monitoring is to use AR technology. A key feature of the Oculenz platform is in-
532 home monitoring of the scotoma or visual defect. Its AI algorithm tracks scotoma
533 progression. If a change in the scotoma is detected, AI quantifies this change and
534 alerts the physician's office. The ability to remotely and continuously monitor a
535 patient's disease with precision is important. Patients with macular disease often
536 cannot detect subtle, progressive changes in their vision. Hence, by the time a
537 patient recognizes a change, it may be too late for a physician to intervene to
538 preserve vision.

539

540 Recently AR technology has emerged in ophthalmic surgery applications. Namely,
541 AR headsets have been developed to improve ergonomics and enhance
542 visualization in the operating room. Historically ophthalmic surgeons operated
543 viewing through oculars of the operating microscope, creating surgeon movement
544 restriction during surgery and spine problems due to ergonomic constraints of
545 extended viewing at a microscope. Alcon (Ngenuity™) and Zeiss (Artevo™) have
546 created digital head up displays for ophthalmic surgery to address these issues
547 (Eckardt and Paulo 2016; Palacios et al. 2020). These digital viewing systems allow
548 the surgeon more comfortably while operating and wearing 3D glasses to view
549 surgery on a large monitor positioned beside the patient's surgical bed. Instead of
550 viewing a large monitor with 3D glasses to the side of the surgeon which is the
551 currently configuration in digital heads up ophthalmic surgery, two new AR systems
552 project operative digital images directly in front of the surgeon. Beyeonics™ has
553 developed a platform that allows for digital information from the microscope to be
554 projected onto a tethered headset worn by the surgeon. Limitations of that headset
555 include being not wireless and heavy (1.6 lbs./730 grams). ORlenz™ AR headset
556 was developed to improve the ergonomics and visualization issues in ophthalmic
557 surgery. It differs from Beyeonics™ Clarity headset in that it is wireless, lighter, and
558 is higher resolution at 60 pixels per degree. Both systems use an AR platform to
559 enhance visualization and reduce occupational injuries by not requiring direct
560 microscope viewing. Both headsets are undergoing development and are not yet
561 available to use outside of clinical trials.

562 563 **2.6 Digital innovation and transformation**

564
565 The intense focus on the capabilities that new technologies offer, can lead one to
566 underestimate the challenges inherent in the actual digital transformation of
567 ophthalmology. While other industries are rapid to embrace new technology,
568 healthcare is notably slower. There is a real risk that high hopes for the new
569 technologies described elsewhere in this paper will flounder upon the reality of
570 healthcare systems that remain digitally immature. Some barriers to innovation in
571 healthcare are perfectly legitimate, for example the real risk that sub-optimal
572 deployment of a digital technology could lead to patient harm. Other barriers are
573 entirely artificial, and foremost among these are the perverse incentives created by
574 billing and tariff systems. In the UK, for example, there has only recently been a
575 move to correct the imbalance between poorly reimbursed remote consultations and
576 well reimbursed face-to-face consultations (Brennan, Serle, and Clover 2018).

577
578 When a technology has successfully navigated the ethical, financial, regulatory, and
579 safety barriers to implementation in healthcare, the rate of attrition remains high. In
580 order to be scalable beyond local pilots, the technology must either fit in seamlessly
581 with existing clinical practice, or it must be sufficiently compelling to cause clinical
582 practice to change (as we have seen with OCT platforms in ophthalmology). The
583 failure of the UK's National Programme for IT is a case study for this

584 phenomenon (Robertson, Bates, and Sheikh 2011). Where local adoption has been
585 successful, innovations can be slow to spread through a fragmented system, with
586 funding for spread of innovation often a small fraction of the research and
587 development budget (Collins 2018).

588
589 A partial solution to these challenges has been the creation of innovation units
590 embedded in hospitals and academic medical centres (e.g. Cleveland Clinic
591 Innovations and the Digital Clinical Lab at Moorfields Eye Hospital). These units can
592 help to develop digital technologies that improve healthcare delivery in the real world,
593 rather than developing solutions that can't easily be incorporated into routine practice.
594 While innovation units can earmark resources, a major enabler is their ability to bring
595 together multi-disciplinary teams that allow the development of useful solutions.
596 These include, among others, engineers, developers, behavioural scientists,
597 intellectual property specialists, and clinicians. The development of local capabilities
598 to drive digital innovation mirrors the acceptance that national initiatives, such as
599 EMR deployment, can be more successful when driven from "bottom up" process
600 whereby local solutions are integrated in a modular fashion (Aanestad and Jensen
601 2011).

602
603 A key enabler to this modular approach to innovation is the adoption of shared
604 interoperability standards. Without these standards, we run the risk of creating a
605 complex ecosystem of technologies that are incapable of communicating with each
606 other. Ophthalmology is particularly retrograde on this, with most devices using
607 vendor-specific file formats. Vendor-neutral approaches will improve the ability of AI
608 algorithms, for example, to work on a common data substrate. These standards have
609 long been suggested, but we are now beginning to see concerted effort towards their
610 adoption, for example SMART-on-FHIR, a standards-based interoperable apps
611 platform for EHR (Mandel et al. 2016) and SNOMED CT, a structured clinical
612 vocabulary for use in EHR (Bodenreider, Cornet, and Vreeman 2018).

613

614 **3. Digital Innovations for Eye Diseases**

615

616 **3.1 Diabetic retinopathy**

617

618 Diabetes is one of the biggest healthcare challenges in the world (Wong and
619 Sabanayagam 2019). The global prevalence in 2019 was estimated to be 9.3% (463
620 million people), and is anticipated to rise to 10.9% (700 million) by 2045 (Saeedi et al.
621 2019). Diabetic retinopathy (DR) accounts for 4.8% of global blindness, and the
622 overall prevalence of DR in type 1 diabetes mellitus (T1DM) and type 2 diabetes
623 mellitus (T2DM) using pooled data from the United States, Australia, Europe and
624 Asia is 34.6%, with 7% of patients harbouring vision threatening DR (VTDR) (Yau et
625 al. 2012).

626

627 Annual funduscopy for patients with diabetes mellitus is a key strategy by the WHO
628 in the prevention of sight loss (World Health Organisation 2000), a message echoed
629 by the International Council of Ophthalmology (International Council of
630 Ophthalmology 2017). Practices vary internationally both in terms of the technique
631 for funduscopy, including direct ophthalmoscopy, slit-lamp biomicroscopy facilitated
632 posterior segment exam with hand held lenses, dilated or undilated retinal
633 photographs, tele-retinal screening and video recording, and in terms of the screener,
634 including general physicians, optometrists, trained technicians, and ophthalmologists
635 (Ting, Cheung, and Wong 2016).

636

637 If screening programmes were instituted by countries across the world using fundus
638 photography, there would be close to one billion images generated on an annual
639 basis based on a global prevalence of diabetes of nearly half a billion people (Saeedi
640 et al. 2019). Traditional reading of these images by trained personnel is neither
641 sustainable nor an efficient use of expertise. In short, technology is essential to
642 facilitate capture, storage and interpretation of nearly a billion retinal photographs per
643 year. And with longitudinal data to better inform prognostication, there is potential for
644 patients to be safely risk stratified with tailored screening frequency, even modality,
645 and have access to their personalised projected disease progression.

646

647 **3.1.1 Tele-screening in DR**

648

649 DR screening programmes based on telemedicine with digital fundus photography
650 by specially trained graders has been well established in some developed nations
651 like the UK and Singapore (Scotland et al. 2010; Nguyen et al. 2016). Tele-screening
652 addresses many of the present geographic challenges and the unequal distribution
653 of services across many areas, thus helping to increase screening coverage
654 (Salongcay and Silva 2018). Tele-screening facilitates task-sharing and task-shifting
655 between clinicians and health professionals, e.g., trained graders instead of
656 ophthalmologists reading retinal images, and offers a fast, accurate and cost-
657 effective solution to DR screening in all resource settings. **Figure 2** provides an
658 example of semi-automated remote triage workflow for medical retina. The
659 availability of trained retinal graders is a major limitation in many countries, and a
660 potential solution to this would be an AI-based DR screening algorithms, including
661 ones that use fundus on phone (FOP) retinal imaging. Comprehensive practical
662 guidance on telemedicine in diabetic retinopathy has been recently updated by the
663 American Telemedicine Association Ocular Telehealth Special Interest Group
664 (Horton et al. 2020). **Table 1** provides a summary of DR screening across a number
665 of countries and their adoption of AI and tele-screening.

666

667 In England, the National Health Service Diabetic Eye Screening Programme (DESP)
668 has an established and effective universal programme which achieves substantial
669 uptake. Since its inception in 2003 and reaching coverage of the entire English
670 population by 2008, the DESP adoption rate includes 82% of the 2 million eligible

671 population (Public Health England 2016). With over 60 screening centres, dilated
672 mydriatic retinal photographs are taken by trained technicians, and graded by trained
673 graders, who may be non-clinicians, nurses, or optometrists, and overseen by a
674 consultant ophthalmologist. Partially as a result of this successfully implemented
675 programme, DR is no longer the leading cause of certifiable blindness in the working
676 population in England (Scanlon 2008, 2017).

677

678 In Singapore, about one third of patients with diabetes have DR and 17% have sight-
679 threatening DR(Khoo et al. 1990). In 2010, the Singapore Integrated DR Program
680 (SiDRP) (Nguyen et al. 2016), a national-level, telemedicine-based DR screening
681 program, was developed and screened up to 200,000 people with diabetes. Retinal
682 photographs taken from the 18 primary care facilities are transmitted via a
683 telemedicine platform and assessed by trained graders. The clinics receive the
684 reports on the same day, and 90% within 1 hour. Comparing telemedicine screening
685 to the existing physician-assessed model, the saving in terms of direct costs was
686 SGD 144/person (EUR 94.20). Extrapolating this to the SiDRP population, this
687 translates to a lifetime saving of ~SGD 29 million (Nguyen et al. 2016). Work is
688 underway to integrate a DL system for referable DR, VTDR, and related eye
689 diseases(Ting, Cheung, et al. 2017) within SiDRP (Bellemo et al. 2019), potentially
690 becoming the first such autonomous reading system to be integrated into a national
691 DR screening program.

692

693 In US, the two largest DR screening programmes are the Joslin Vision Network (JVN)
694 and the Department of Veteran Affairs model (VA). JVN offers a simple process,
695 where patients are able to undergo screening at their primary care physician or
696 endocrinologist's office. The image is graded at a centralised reading centre along
697 with a limited clinical data such as blood pressure and blood glucose as per the
698 Joslin Diabetes Eye Health Care Model, and a recommended treatment plan is sent
699 to the referring centers (Aiello et al. 1998). JVN demonstrates the ability to diagnose
700 DR severity in a non-ophthalmic setting, which may be more effective at identifying
701 early treatable disease and preventing visual loss, potentially at a more competitive
702 cost (Cavallerano et al. 2005). The VA model is attractive since the entire VA system
703 utilises same electronic health record (EHR), allowing for ease of data sharing.
704 Similarly, Kaiser Permanente, a large Health Maintenance Organization (HMO),
705 adopts the same EHR within the system, enabling telescreening to occur at the level
706 of primary care office, and grading performed at a reading centre.

707

708 For-profit companies aimed at DR screening, such as Intelligent Retinal Imaging
709 Systems, IDx, and Eyenuk, are increasing, especially since IDx invented IDx-DR, the
710 first and only Food and Drug Administration (FDA)-approved AI system for the
711 autonomous detection of DR. Historically, low and inconsistent reimbursement for
712 telemedicine along with high up-front cost of camera purchase have been some of
713 the barriers to the uptake of teleophthalmology adoption. As a result, most of
714 teleophthalmology in the US was limited previously to research or provided as a

715 community service (DeNomie et al. 2019). However, COVID-19 has prompted
716 increased reimbursement for telemedicine service by Medicare and other insurance
717 companies and increased utilisation of teleophthalmology by eye care specialists,
718 including store-and-forward method, telephone and/or video conferencing, and a
719 hybrid model. This may be only a temporary phenomenon to accommodate the
720 patient volume while practising social distancing during the pandemic, but in some
721 specialties including ophthalmology, implementation of tele-health may have lasting
722 change on delivery of care in the US.

723
724 India has over 65 million diabetics with DR prevalence estimated to be around 18%
725 (Rema et al. 2005). With much of the population in rural areas, smartphone-based
726 imaging devices such as Remidio fundus on phone (FOP) camera have been used
727 in teleophthalmology screening, showing the comparable ability with conventional
728 mydriatic fundus cameras. In 2017, 16,226 individuals with diabetes were screened
729 using Remidio FOP camera for DR with 7% of the individuals were suggested for
730 further evaluation and treatment (Rajalakshmi et al. 2015).

731
732 China has the world's largest population of adults with diabetes with a prevalence
733 estimated to be around 10% - 11% of the population (Gwatidzo and Stewart Williams
734 2017; Yang et al. 2010; Wang et al. 2017), creating a high burden of DR (Song et al.
735 2018). As the population ages and the prevalence of DM increases, DR is becoming
736 one of the most common blinding disorders in China (Jonas, Wu, and Wang 2017).
737 Hence, efficient DR screening strategies have been explored and implemented, in
738 line with the national Healthy China 2030 strategy, to support the "prevention first"
739 principle and early screening for chronic diseases (Chen, Li, and Harmer 2019).

740
741 The large-scale telemedicine-enabled program of Lifeline Express (LEX) has carried
742 out free DR screening nationwide at 29 DR screening centres across China (Wong
743 et al. 2018). In addition to the acquisition of fundus images in mobile vans or primary
744 care institutions, smartphones are also used to provide electronic medical reports of
745 fundus images via WeChat, the most popular messenger app in China. Between
746 April 2014 and December 2016, 34,506 patients with diabetes underwent screening
747 and 27.2% (9396) were reported to have DR (Wong et al. 2018).

748 749 **3.1.2 Automated DR screening for telemedicine**

750
751 The adoption of DL in telescreening for DR makes it possible for non-eye health
752 professionals to perform DR screening and make recommendations without the help
753 of ophthalmologists (Cheung et al. 2019; Balyen and Peto 2019; Schmidt-Erfurth,
754 Sadeghipour, et al. 2018). **Figure 3** illustrates how AI can be integrated into DR
755 screening programmes, whilst also utilising the data generated during the screening
756 process to aide in the further development of existing and new algorithms. **Figure 4**
757 demonstrates the electronic systems that are already in place to streamline the
758 management of a patient's journey, with virtual integration of each step of their

759 journey from registration to EHR to management of images. Myriad DL programmes
760 are being developed for DR diagnosis, with several models evolving into clinical
761 adoption. **Table 2** provides a summary of all the artificial intelligence systems with
762 the respective training datasets and diagnostic performance for different retinal
763 diseases using fundus photographs.

764

765 Prior to the DL era, the iGradingM algorithm could perform ‘disease/no disease’
766 grading for DR , with a very high detection rate of 97.3% for referable DR (Philip et al.
767 2007), and with a sensitivity of 97.4–99.1% and specificity of 98.3–99.3% (Goatman
768 et al. 2011). Subsequent studies suggest that iGrading (version 1.1 by Medalytix), as
769 well as other commercial automated grading systems including Retmarker (version
770 0.8.2. 2014/02/10 by Retmarker Ltd, formerly Critical-Health) (Tufail et al. 2016) are
771 comparable to that of trained graders. Further study based on retinal images from
772 20,258 patients in routine annual DR screening showed 85% sensitivity by
773 Retmarker and 94% by EyeArt, indicating the potential to replace one or more steps
774 of current DR screening programmes(Tufail et al. 2017).

775

776 Since 2016, many groups have published on the application of DL for DR screening
777 (**Table 2**) (Gulshan et al. 2016; Ting, Cheung, et al. 2017; Gargeya and Leng 2017).
778 In April 2018, the DL algorithm developed by Abramoff et al, called IDx-DR, received
779 the first approval from the FDA for detecting more-than-mild DR in adults who have
780 DM using DL without clinician-assisted interpretation. The software was tested in a
781 pre-registered US FDA prospective clinical trial in 10 primary practice sites
782 throughout the USA. Abramoff et al (Abramoff et al. 2018) reported the first DL-
783 enhanced algorithm for referable DR and VTDR, with a sensitivity of 87.2% and a
784 specificity of 90.7% in detection of referable DR (worse than mild DR) with a
785 gradability rate of 96.1%. Multiple other DL systems have been developed with high
786 sensitivity and specificity for DR screening, and these are summarized in **Table 2**.

787

788 More recently, Li et al developed a DL system to automatically detect the most
789 common sign of DR, retinal haemorrhages, based on 16827 ultra-widefield fundus
790 (UWF) images (11339 individuals) from the Chinese Medical Alliance for Artificial
791 Intelligence (CMAAI) (Li, Guo, and Nie 2020). With both sensitivities and specificities
792 over 96% in various settings, this system has significant potential to detect more DR
793 patients, given that the retina view scope of UWF images is five times larger than
794 that of tradition fundus images (Nagiel et al. 2016).

795

796 It has been shown that AI could potentially grade DR for epidemiology studies and
797 clinical trials (Ting, Cheung, et al. 2019). With the continued improvement AI
798 diagnostic performances in various specialties, AI could potentially reduce the need
799 of professional graders in reading centers with clinicians adopting a supervisory role.

800

801 **3.2 Retinopathy of prematurity**

802

803 Retinopathy of prematurity (ROP) is a vasoproliferative disease of the premature
804 retina which can progress to tractional retinal detachment, that can result in complete
805 visual loss. Every year, more than 30,000 children lose their sight from ROP
806 worldwide, and the prevalence is still increasing (Gilbert 2007; Hellstrom, Smith, and
807 Dammann 2013). Despite being a leading cause of childhood blindness globally,
808 visual loss is mostly preventable with timely treatment (Cryotherapy for Retinopathy
809 of Prematurity Cooperative 2001; Early Treatment For Retinopathy Of Prematurity
810 Cooperative 2003). Numerous clinical studies had shown that timely ablation of the
811 peripheral avascular retina using laser photocoagulation or cryotherapy reduced
812 unfavorable structural and visual outcomes significantly (McNamara et al. 1991;
813 Hunter and Repka 1993; Cryotherapy for Retinopathy of Prematurity Cooperative
814 2001; Laser ROP Study Group 1994). In recent years, anti-VEGF treatment has also
815 extended its application to ROP, showing significant structural and visual
816 improvement. Therefore, regular screening for early detection and timely delivery of
817 treatment are essential for visual preservation in at-risk infants.

818

819 **3.2.1 Tele-screening of ROP**

820

821 Screening systems differ across the world, reflecting not only the different healthcare
822 systems, but also the region-specific distribution of ROP risk. A worldwide survey
823 showed a region-specific distribution of ROP risk, with Eastern Europe (37.4%) and
824 Latin America (23.9%) at the highest ranks (Gilbert 2008, 2007; Hellstrom, Smith,
825 and Dammann 2013). The imbalance between increased survival of preterm babies
826 due to advanced neonatal care, the paucity of sophisticated titratable oxygen
827 delivery, and the lack ROP monitoring from experienced persons are key
828 contributory factors. A similar situation also occurred in India and China, where the
829 highest numbers of preterm babies are born (Chen and Li 2006; Howson et al. 2013;
830 Dutta et al. 2016).

831

832 Once patients have been diagnosed, there remains variation in the management of
833 ROP due to the subjective nature of the diagnosis (Chiang et al. 2007; Wallace et al.
834 2008). The key retinal biomarker for treatment is "plus disease", defined as venous
835 dilatation and arteriolar tortuosity within the posterior retinal vessels. Another
836 relevant feature of ROP is pre-plus disease, defined as vascular abnormality less
837 than plus disease but more than normal, and this requires close observation
838 (International Committee for the Classification of Retinopathy of 2005).

839

840 Over the past decade, wide-field digital imaging (WFDI) systems have been modified
841 to evaluate pediatric retina patients. This has enhanced the ability for children with
842 ROP to be screened through telemedicine methods. These imaging systems also
843 allow for documentation of retinal findings and have the potential to improve
844 diagnostic accuracy. In 2000, a store-and-forward telemedicine system using the
845 WFDI system was successfully trialed, with trained nurses capturing WFDI and
846 sending the images to experienced ophthalmologists (Schwartz et al. 2000). Since

847 then, telemedicine for ROP has been vigorously evaluated for its diagnostic accuracy
848 and reliability. The diagnostic accuracy of any ROP from multiple studies showed
849 favorable results with sensitivity from 0.46 to 0.86 and specificity from 0.86 to 1.00
850 (Chiang et al. 2007; Chiang et al. 2006; Dhaliwal et al. 2009; Roth et al. 2001; Yen et
851 al. 2000).

852
853 Telemedicine has been shown to be useful in screening for ROP while being fast,
854 cost-effective and having minimal impact on systemic status with several clinical
855 studies reporting favorable long-term results (Brady, D'Amico, and Campbell 2020).
856 Additionally it is superior to indirect ophthalmoscopy in terms of objective
857 documentation of serial retinal images to inform identify disease progression, and
858 facilitates second opinions, education, and research (Chiang et al. 2012; Isaac,
859 Isaranuwachai, and Tehrani 2018; Richter et al. 2009; Shah, Ramya, and
860 Narendran 2018; Brady, D'Amico, and Campbell 2020).

861
862 Recently, smartphone-based fundus imaging (SBFI) has been introduced for
863 screening purposes of ROP and showed competitive outcomes when compared with
864 conventional contact fundus imaging (Goyal et al. 2019; Patel et al. 2019;
865 Wintergerst et al. 2019). Novel imaging devices in combination with AI technology,
866 as seen with the work by the Imaging and Informatics in Retinopathy of Prematurity
867 (i-ROP) Research Consortium, could facilitate cost-effective telemedicine-based
868 ROP screening in low-resource settings. The need for exacting protocols on image
869 acquisition and image interpretation has been highlighted by many experts as a key
870 priority (Abdul Aziz, Isaac, and Tehrani 2014).

871

872 **3.2.2 Global ROP screening programs**

873

874 While the US is a developed country with advanced medical services, it still has
875 challenges with having enough healthcare providers to screen and treat children at
876 risk for developing ROP. Only 11% of ophthalmologists in the United States were
877 able to perform ROP screening using binocular indirect ophthalmoscopy, and even
878 less (6%) are able to perform laser photocoagulation for ROP (Trese 2008; Kemper,
879 Freedman, and Wallace 2008). Therefore, a number of groups in the US are actively
880 participating in telemedicine for ROP screening. In 2012, the American Academy of
881 Ophthalmology (AAO) published an Ophthalmic Technology Assessment (OTA) on
882 the use of WFDI for ROP screening, with a favorable performance of WFDI by
883 reviewing 450 cases screened with telemedicine. In 2015, the American Academy of
884 Pediatrics and the AAO released a joint systematic review of telemedicine for ROP
885 screening for consensus. The guidelines recommend serial binocular indirect
886 ophthalmoscopy (BIO) examinations but allowed digital imaging with at least 1 ROP
887 examination before treatment or discharging infants from further ROP monitoring.
888 Currently, different programs are provided depending on the hospital's situation. An
889 analysis of survey responses from the medical directors of 847 level III NICUs
890 reported 21% of the NICUs used retinal imaging devices for ROP screening.

891

892 The Stanford University Network for Diagnosis of Retinopathy of Prematurity
893 (SUNDROP) is a well-established ROP telemedicine program initiated by Dr Darius
894 Moshfeghi with the goal of identifying at-risk infants for ROP throughout the San
895 Francisco Bay Area (Murakami et al. 2010). The SUNDROP was started in 2005 to
896 overcome a shortage of ROP experts and first provide screening at a level-2
897 neonatal intensive care unit (NICU) which then expanded to 6 NICUs in the area.
898 The SUNDROP study reported 6-year results of ROP screening from 6 NICUs,
899 which involved 26,970 images from 1,216 eyes showing that 3.6% of examined
900 premature infants required treatment. The retinal images were taken by trained
901 nurses and uploaded for remote evaluation by an experienced ophthalmologist. The
902 study showed 100% sensitivity, 99.8% specificity, 93.8% positive predictive value,
903 and 100% negative predictive value for the detection of treatment-warranted ROP
904 (Fijalkowski et al. 2014).

905

906 The Imaging & Informatics in Retinopathy of Prematurity (i-ROP) Research
907 Consortium led by the Casey Eye Institute of the Oregon Health & Science
908 University (OHSU) is an international group of 12 academic centers who are working
909 together to develop better methods for diagnosing, understanding, and treating ROP
910 through computer-based image analysis, genetic analysis, and biomedical
911 informatics analysis. They have raised questions about the subjective nature of the
912 definition of "plus disease" or "pre-plus disease" and the role of individual clinical
913 judgment in cases that are not precisely covered by previously published treatment
914 guidelines (Gupta et al. 2016). To address these challenges in ROP diagnosis, they
915 developed a computer-based image analysis system that demonstrated 95%
916 diagnostic accuracy, which was comparable with that of 11 expert clinicians (79-99%)
917 (Campbell et al. 2016). Based on their findings, they proposed a continuous severity
918 score for vascular abnormalities by ranking disease severity to enhance inter-expert
919 agreement (Kalpathy-Cramer et al. 2016).

920

921 India has the highest number of premature births in the world, with more than 3.5
922 million premature infants born each year (Vinekar et al. 2019). There are a number
923 of successful ROP telemedicine screening programs in India, such as the Karnataka
924 Internet Assisted Diagnosis for Retinopathy of Prematurity (KIDROP), and the
925 Retinopathy of Prematurity Eradication-Save Our Sight (ROPE-SOS) program
926 through Aravind Eye Hospital (AEH), Coimbatore (Valikodath et al. 2018). These
927 programs and the Indian ROP society have made critical improvements in educating
928 families, pediatricians and other healthcare providers on the importance of ROP
929 management. As a result, the number of infants screened has increased significantly
930 throughout the country and sustainable ROP screening and treatment programs
931 have been established.

932

933 In Chile, Retcam and telemedicine-based ROP screening has been established with
934 an expert review guideline under government support (Ossandon et al. 2018). At

935 least five images were captured in each eye; one image demonstrating the posterior
936 pole and the other four of each of the fundus quadrants. Images were stored and
937 transmitted via a secured inter-hospital virtual private network to a central reading
938 center, where they were analyzed using the RetCam review station software by two
939 independent ROP experts. Results were sent by secured email to the clinician on the
940 same day. They used telemedicine in all screening and evaluations. Clinical
941 examination using BIO was done only before providing treatment to confirm that
942 treatment is required. The agreement rate was reported to be 98% between imaging
943 and clinical judgment of cases requiring treatment.

944

945 Argentina scaled up services for ROP significantly in a short time due to the efforts of
946 dedicated professionals, the Ministry of Health, a national ROP committee,
947 international cooperation, and external funding (Hariharan et al. 2018). The ROP
948 Argentina Group, an advisory body for the National Board of Maternity, Childhood,
949 and Adolescence has coordinated the national program for the prevention of
950 blindness in childhood by ROP since 2010. The telemedicine-based ROP screening
951 program started with 14 facilities and reached 98 facilities from all over the country in
952 2016. A total of 227,138 births, which accounted for 29.4% of all births and 51.3% of
953 births in public sector facilities were evaluated using telemedicine. It was
954 encouraging that when the incidence of severe ROP and unusual cases were found
955 to be high at specific facilities, changes to modify oxygen management to mitigate
956 ROP took place (Alda et al. 2018). A direct comparison from multiple facilities and
957 dissemination of the results would facilitate the improvement of medical care.

958

959 Many of the specialists who were utilizing telemedicine for ROP screening prior to
960 COVID-19 expressed the benefit of having this system in place during the pandemic,
961 since it allowed them to screen these infants while limiting the number of people
962 examining, thus reducing the potential viral exposure to this vulnerable population.

963

964 **3.2.3 AI in ROP**

965

966 The paucity of experienced ROP specialists necessitates the application of AI. In
967 2020, the first AI system for ROP, which was developed by the imaging and
968 informatics for ROP (i-ROP) consortium, received breakthrough status by the FDA.
969 This DL algorithm (DeepROP) has been incorporated into a system termed “i-ROP
970 DL”. This system is a DL based diagnostic algorithm explicitly developed for the
971 detection of plus disease (Brown et al. 2018) or diagnostic categories of ROP (Redd
972 et al. 2018) from WFDI. The i-ROP consortium evaluated the accuracy and
973 sensitivity of telemedicine grading of dilated fundus imaging versus binocular indirect
974 ophthalmoscopy by comparing it with a consensus reference standard diagnosis
975 (Biten et al. 2018). I-ROP DL has been shown to have very high accuracy for
976 detecting plus disease from wide-angle posterior pole retinal images and with robust
977 sensitivities and specificities for detecting both plus and pre-plus disease, it may

978 even perform better than expert human examiners in detecting plus disease (Brown
979 et al. 2018).

980

981 **3.3 Glaucoma**

982

983 Glaucoma is characterised by structural changes in the optic nerve head (ONH),
984 variably raised intraocular pressure (IOP), retinal ganglion cell death, loss of visual
985 field (VF) and eventual vision loss (Weinreb, Aung, and Medeiros 2014) and is the
986 main cause of irreversible blindness, affecting ~64.3 million patients aged from 40 to
987 80 years worldwide (Stevens et al. 2013; Bourne et al. 2013; Tham et al. 2014). This
988 number is expected to increase to 112 million by 2040 (Tham et al. 2014). However,
989 most cases of chronic glaucoma may be asymptomatic early on, which increases the
990 difficulty in diagnosis. When patients seek medical advice due to poor visual acuity
991 related to glaucoma, the disease is often in its late stages. Care costs increase 4-fold
992 when late disease is managed (Lee et al. 2006) leading to significant financial
993 burden in most countries. Although most irreversible loss of vision can be prevented
994 by timely diagnosis and treatment (Tatham, Weinreb, and Medeiros 2014; Tatham et
995 al. 2015), unlike other eye diseases, one major challenge is to identify the large
996 number of undiagnosed patients. The limited numbers of screening programs is a
997 reflection that the disease does not fulfil all the criteria for effective population
998 screening, particularly in early stage disease where an unacceptably high false
999 positive detection rate exists (Samples 2010).

1000

1001 Unlike other diseases such as DR or ROP, glaucoma is diagnosed according to
1002 consensus findings from intraocular pressure (IOP) measurements, fundus
1003 photographs, VF exams and OCT, rather than by detecting specific ocular
1004 biomarkers (Jonas et al. 2017). While fundus photographs are a mainstay in
1005 glaucoma diagnosis because they allow for an assessment of the optic cup to disc
1006 ratio (CDR), neuroretinal rim integrity, peripapillary atrophy and retinal nerve fibre
1007 layer (RNFL) defects (Haleem et al. 2013), early signs are not easily recognized. The
1008 inability to establish quantitative ONH parameters for the detection of early disease
1009 relates to the fact that optic nerves come in different sizes and shapes, while the
1010 number of axons coursing through the ONH is thought to be relatively constant.
1011 Similarly, independent of glaucoma, the distribution of RNFL thickness will vary
1012 considerably depending on the refractive status of the eye. Thus, detection of early
1013 disease requires experienced ophthalmologists curtailing the cost-effectiveness in
1014 glaucoma screening (Fleming et al. 2005; Moyer et al. 2013; Miller et al. 2017; Pizzi
1015 et al. 2018).

1016

1017 Another commonly used standard for glaucoma assessment is VF testing. VF testing
1018 represents a read out of the entire visual system from the pre-corneal tear film to the
1019 occipital lobes. Given the highly organized topographic structure of the visual system,
1020 particularly the optic nerve territory, it is possible to use VF test findings to infer
1021 whether glaucomatous optic nerve damage is present. There are various platforms

1022 to measure VFs in clinical practice, such as the Humphrey Visual Field Analyzer and
1023 Oculus Field Analyzer. The subjectivity and variability of the procedure contribute to
1024 the unreliability of the results (Russell et al. 2012; Wu and Medeiros 2018), and it
1025 may be difficult for non-specialised ophthalmologists to decipher a VF report.
1026 Furthermore, since generating quantitative information about the RNFL from fundus
1027 inspection is particularly challenging, OCT imaging has become a critical modality
1028 used in the evaluation of structural damage of ONH and parapapillary RNFL
1029 thickness, which is associated with the diagnosis and rate of glaucoma progression.
1030 Numerous platforms for automated assessment of the RNFL and ONH are also
1031 available but OCT reports can also be challenging to decipher. Many OCT artefacts
1032 that can influence OCT interpretation and much of the collected data could be re-
1033 organized in ways to make them useful in glaucoma management.

1034

1035 Considering that widespread screening for glaucoma is costly and time-consuming,
1036 and the accuracy of diagnosis is limited according to the experience of
1037 ophthalmologists (Haleem et al. 2013), advanced tools to make better use of
1038 information are mandated to ensure the effective detection of suspicious findings.

1039

1040 **3.3.1 Tele-glaucoma and virtual clinic**

1041

1042 One currently available advanced technology to address glaucoma screening is
1043 telemedicine, which may effectively detect glaucomatous changes in patients,
1044 especially from fundus photographs (Arora, Rudnisky, and Damji 2014). Through a
1045 combination of fundus photography, IOP measurements and VF screening,
1046 teleophthalmology can increase the sensitivity of glaucoma screenings in community
1047 or primary healthcare settings and provide healthcare access to patients in resource-
1048 depleted areas (Kumar et al. 2006; Kumar et al. 2007; Maa et al. 2014), and recent
1049 guidance on the adoption of telemedicine in glaucoma has been developed (Gan et
1050 al. 2020).

1051

1052 Virtual clinics are increasingly adopted in the UK to facilitate remote glaucoma
1053 management. Virtual clinics use electronic patient records collected by technicians
1054 and consultants in community clinics or from a mobile clinic facility, and then delivers
1055 feedback on the decisions made by ophthalmologists for patients being examined
1056 remotely (Wright and Diamond 2015). As the largest tele-glaucoma study to date,
1057 this program reported an 87% level of agreement on disease stratification status
1058 between optometrists and specialists. In the UK, around 50% of the Hospital Eye
1059 Service units are using glaucoma virtual clinics (Court and Austin 2015; Kotecha et
1060 al. 2015), and their rate efficiency, patient safety and acceptability have been shown
1061 to be at least equivalent to that of standard care (Gunn et al. 2018; Clarke et al.
1062 2017). While virtual clinics may have limitations in detecting unstable diseases, they
1063 may serve important functions for more slowly progressive diseases (Clarke et al.
1064 2017). Glaucoma is typically slowly progressive and as such, telemedicine strategies

1065 may facilitate periodic monitoring, timely referral and screening (Sreelatha and
1066 Ramesh 2016).

1067

1068 In the past 20 years, a large number of pilot teleophthalmology programs were
1069 carried out around the world, demonstrating the feasibility in the detection and
1070 management of glaucoma (Labiris et al. 2003; Owsley et al. 2015; Rathi et al. 2017;
1071 Zhao et al. 2017). For example, the Wills Eye Glaucoma Research Center designed
1072 a 5-year telemedicine screening program, the Philadelphia Telemedicine Glaucoma
1073 Detection and Follow-up Study (Hark et al. 2017). This program illustrated how to
1074 improve access to eye care and reduce glaucoma-related vision loss in high-risk
1075 populations (Waisbourd et al. 2016; Hark et al. 2016). Another telemedicine
1076 glaucoma program in Northern Canada at University of Alberta relied on real-time
1077 consultations with glaucoma specialists via VoIP (Voice-over Internet Protocol) in
1078 primary eye care clinics (Kassam et al. 2013). Overall, several studies reported that
1079 about half of the examined patients had favourable attitudes towards such programs
1080 (Gagnon, Cloutier, and Fortin 2004; Valikodath et al. 2017; Rhodes et al. 2019), with
1081 positive implications for further improvement.

1082

1083 In China, where more than 90% of glaucoma may be undiagnosed (Song et al. 2011;
1084 Liang et al. 2011), telemedicine-based public health care delivery in ophthalmology
1085 has been adopted since 2012 (Xu et al. 2012). This population-based public health
1086 care project was designed to screen all elderly people (age 55-85 years) of the rural
1087 areas. Based on fundus images, 1606 of 37,281 (4.31%) participants were found to
1088 have glaucoma. Moreover, a novel teleophthalmology system was developed and
1089 centred at Zhongshan Ophthalmic Center, linking with 10 rural hospitals in
1090 Guangdong province (Xiao et al. 2017). This integrated system combines colour
1091 fundus imaging, cloud-based web application and tablet applications for providing
1092 glaucoma and DR grading, comprehensive eye examination, eye disease diagnosis
1093 and treatment. In addition, the system automatically sends mobile messages to
1094 patients reminding them about upcoming visits, which can improve their medical
1095 compliance. Moreover, from a quality control perspective, educational modules
1096 within the system train image graders and rural doctors regarding fundus image
1097 grading on glaucoma and DR.

1098

1099 **3.3.2 AI in glaucoma**

1100

1101 AI has fostered new breakthroughs in automated screening for glaucoma, including
1102 supervised and unsupervised ML. For glaucomatous colour fundus photo detection,
1103 early methods for glaucoma classification focused on segmentation of the optic disc
1104 and cup based on the combination of feature extraction techniques and supervised
1105 or unsupervised ML (Almazroa et al. 2015), with AUC ranging from 0.792 to 0.887
1106 (Singh et al. 2016; Chakrabarty et al. 2016; Issac, Sarathi, and Dutta 2015; Annan et
1107 al. 2016).

1108

1109 Recent DL technologies with predictive learning features that worked directly from
1110 the globally labelled images as glaucomatous or not based on clinical consensus
1111 reported an AUC ranging from 0.942 to 0.986, avoiding errors in localization and
1112 segmentation (Li, He, et al. 2018; Ting, Cheung, et al. 2017). Furthermore, Liu et al
1113 (Liu et al. 2019) investigated a DL system and assessed its generalisability in various
1114 data sets, reporting similar high sensitivity (82.2% to 96.1%) and specificity (70.4%
1115 to 97.1%). All these studies used monoscopic, two-dimensional colour images and it
1116 is unclear whether stereoscopic images may increase the accuracy of diagnosis with
1117 DL methods. This is an example where the unexplainable 'black box' phenomenon of
1118 AI can offer exciting new insights into diseases and disease processes, identifying
1119 features that humans have not yet been able to. Li *et al* developed a DL system for
1120 detecting glaucomatous optic neuropathy based on 48,166 colour fundus
1121 photographs, with an AUC of 0.986, sensitivity of 95.6% and specificity of 92.0% (Li,
1122 He, et al. 2018). Zheng et al (Zheng et al. 2020) developed a DL model for
1123 automated detection of glaucoma based on spectral domain OCT images with an
1124 AUC of 0.99. Finally, one cannot overstate the innovative machine-to-machine
1125 learning approach of Medieros et al whereby a convoluted neural network (CNN)
1126 was trained to learn the average RNFL thickness as determined from OCT platforms
1127 from fundus photographs³⁵. **Table 4** includes AI systems with their respective
1128 training datasets and diagnostic performance for optic disc pathology using OCT.
1129 The widespread availability of such an algorithm could extend the utility of fundus
1130 images acquired in non-ophthalmic centres.

1131
1132 Compared to optic disc images, VF data are characterized by low dimensionality and
1133 high noise, and such datasets could be refined using unsupervised ML algorithms.
1134 The two most reported unsupervised algorithms are clustering and component
1135 analysis (Hilton, Katz, and Zeger 1996; Yousefi et al. 2016; Yousefi et al. 2018). In
1136 1994, Goldbaum et al created a two-layer neural network to detect glaucomatous
1137 eyes via visual fields and attained a sensitivity of 65% and specificity of 72%
1138 (Goldbaum et al. 1994). Further studies similarly demonstrated that ML may perform
1139 comparably or better than human experts in the mean deviation, pattern standard
1140 deviation and glaucoma hemifield test (Goldbaum et al. 2002; Chan et al. 2002). A
1141 back-propagation neural network reported to successfully detect visual field
1142 progression with an AUC of 0.92 (Lin et al. 2003). Further advances using algorithms
1143 based on CNN showed higher sensitivity and specificity than traditional ML methods.
1144 Asaoka et al developed a neural network to detect pre-perimetric glaucoma with an
1145 AUC of 0.92 (Asaoka et al. 2016), and Li et al achieved an accuracy of 87% in the
1146 discovery of glaucomatous visual fields, outperforming ophthalmologists and
1147 traditional algorithms (Li, Wang, et al. 2018).

1148
1149 Extensive studies have been done to detect the progression of the VF. The Bayesian
1150 independent component analysis mixture model (Sample et al. 2005) was used in a
1151 "change detection using an optimization framework" (Yousefi et al. 2015), and
1152 recently, the Gaussian mixture model and expectation (GEM) (Yousefi et al. 2018)

1153 showed a significant decrease in the required time to detect the progression in
1154 participants, giving a high sensitivity and specificity. Using an alternative form of
1155 unsupervised learned termed archetypal analysis, Wang et al (Wang et al. 2019)
1156 reported that functional progression could be detected with an accuracy of 0.77,
1157 higher than reference standards agreed by three separate glaucoma specialists.

1158

1159 Early studies based on ML control using time-domain OCT showed an accuracy no
1160 worse than non-AI analytic methods (Burgansky-Eliash et al. 2005; Huang, Chen,
1161 and Lin 2007). The latest OCT technologies, SD-OCT and swept source-OCT, when
1162 combined with DL are reported to be more sensitive in the detection of early
1163 glaucoma than standard of care (Muhammad et al. 2017; Kermany et al. 2018;
1164 Devalla et al. 2018), with a high AUC up to 0.937. Recently, a study depicted a
1165 model that detects the RNFL thickness from OCT with an AUC of 0.944 (Medeiros,
1166 Jammal, and Thompson 2019).

1167

1168 Moreover, the mixture of functional and structural outcomes by ML controls was
1169 developed. Initially, Brigatti L et al (Brigatti, Hoffman, and Caprioli 1996) combined
1170 VF with fundus photography, which had an accuracy of 88% in early detection, better
1171 than either of the data that were analysed separately. Recently, more parameters
1172 have been introduced, including OCT RNFL thickness, standard automated
1173 perimetry and confocal scanning laser ophthalmoscopy imaging (Bowd et al. 2012),
1174 marking improvements in glaucoma detection. Christopher et al(Christopher et al.
1175 2020) published a DL technique predicting the VF loss from SD OCT scans, showing
1176 the potential to lessen the amount of VF testing required.

1177

1178 However, several limitations exist and further studies are required in this area. It can
1179 be difficult for DL, and humans, to classify glaucoma in the eyes with less severe
1180 disease manifestations or multiple comorbid eye conditions, especially high myopia
1181 (Li, He, et al. 2018; Masumoto et al. 2018a), which requires a larger image database.
1182 Furthermore, in order to develop a more dependable screening method, other clinical
1183 parameters, including IOP, central corneal thickness and glaucoma genetic
1184 informativity biomarkers (Craig et al. 2020) should be integrated. Finally, the
1185 application and validation of these advanced methods in a real-world screening
1186 setting need additional investigations to bolster its support.

1187

1188 **3.4 Age-related macular degeneration**

1189

1190 Age-related macular degeneration (AMD) is a leading cause of visual loss and legal
1191 blindness of elderly persons in the developed world (Friedman et al. 2004). The 15-
1192 year cumulative incidence in the United States was 14.3% for early AMD and 3.1%
1193 for late AMD (Klein et al. 2007), and it is increasing as the aged population
1194 grows. Early AMD is generally asymptomatic; a majority of patients with AMD are
1195 unaware of their diagnosis(Gibson 2012). The AAO recommends routine screening
1196 of patients aged 65 years or older every 1 to 2 years. The development of anti-VEGF

1197 treatments at monthly or bi-monthly intervals revolutionized the treatment of wet
1198 AMD by improving visual outcomes significantly (Brown et al. 2006; Rosenfeld et al.
1199 2006). However, added clinical burden to individual and health care systems,
1200 economic and logistical burdens of frequent intravitreal injections have strained
1201 healthcare resources. Although several efforts have made to reduce the clinical
1202 burden by modifying injection protocols, such as 'treat and extend' (Gupta et al. 2010;
1203 Regillo et al. 2008), the number of patient visits by AMD patients continue to
1204 increase due to the growth of the aged population and the chronic and relapsing
1205 nature of the disease (Day et al. 2011).

1206

1207 The most intractable problem of treating AMD is the frequent and time-consuming
1208 appointments requiring review, evaluation and possible subsequent intravitreal
1209 injection. Since AMD treatment is determined mainly from the VA and OCT findings,
1210 telemedicine could be as useful as face-to-face office consultation. A meta-analysis
1211 in 2018 suggested that teleophthalmology for AMD is as effective as face-to-face
1212 examination, and potentially increases patient participation in screening (Kawaguchi
1213 et al. 2018). Also, a simulation study showed that telemonitoring of high risk AMD
1214 patients is cost-effective compared with scheduled examinations alone (\$35 663 per
1215 quality-adjusted life-year gained) (Wittenborn et al. 2017).

1216

1217 In 2015, the first prospective randomized study to assess the efficacy of telemedicine
1218 for both in the initial screening and recurrence monitoring of neovascular AMD was
1219 reported in Canada (Li et al. 2015). Best corrected visual acuity, IOP, color fundus
1220 photography, and macula OCT were incorporated in a "store and forward"
1221 telemedicine model. Those in the telemedicine arm attended a local ophthalmologist
1222 who performed the screening, and the data was stored on a database, which was
1223 then reviewed electronically by a retina specialist. In those referred for initial
1224 screening of neovascular AMD, there was no statistically significant difference in
1225 patient waiting times to further diagnostic tests and to treatment. There was also no
1226 significant difference in patient satisfaction except for parking issues. In those
1227 monitored for recurrence, there was no significant difference in the visual outcome
1228 between groups (20/184.8 vs. 20/180.7, $p=0.99$).

1229

1230 This "store and forward" model still utilizes an ophthalmologist as the initial screener.
1231 While a technician can be for initial data acquisition used for screening, telemedicine
1232 can be applied further so that initial screening and subsequent monitoring can be
1233 remote, out of the clinical setting and into the home.

1234

1235 **3.4.1 Home monitoring for AMD**

1236

1237 Home monitoring and self-care have taken centre stage in modern medicine.
1238 Remote in-home monitoring is currently practiced to monitor acute and chronic
1239 diseases such as body temperature to assess a upper respiratory infection, blood
1240 pressure for hypertension (Noah et al. 2018), peak-flow lung capacities for chronic

1241 obstructive pulmonary disease (Kaptein, Fischer, and Scharloo 2014) and asthma
1242 (Gibson et al. 2003; Kaptein, Fischer, and Scharloo 2014), and blood glucose for
1243 diabetes (Vas et al. 2017). Several large programmes in recent decades have
1244 demonstrated clear effects in the timely detection of a worsening disease status,
1245 prompting targeted treatment (Vas et al. 2017; Gibson et al. 2003).

1246

1247 There is clearly a need for home monitoring in conditions such as AMD and diabetic
1248 macular oedema (DMO) to identify when there is visual decline and allow for timely
1249 management. Moreover, patients who are under stable monitoring may be able to
1250 alert their ophthalmologists when they need to be seen, rather than relying on a
1251 generic timeline.

1252

1253 The Amsler grid developed by Marc Amsler, a Swiss Ophthalmologist, has become
1254 synonymous with home monitoring in ophthalmology (Amsler 1947). It assesses
1255 between 12-15 degrees of field served by the macula and is typically used to identify
1256 and monitor macular dysfunction (metamorphopsia) from conditions such as AMD,
1257 epiretinal membrane, or cystoid macular oedema secondary to conditions like DR,
1258 retinal vein occlusion, and uveitis (Kalinowska et al. 2018; Okamoto et al. 2012; Xu
1259 et al. 2018). Although digitised versions of the Amsler grid are now available,
1260 currently test results are neither recorded nor linked to other clinical parameters such
1261 as VA or OCT retinal scans.

1262

1263 Recent years have seen the introduction of several digital strategies to replace the
1264 Amsler Grid with initial promising results emerging from preferential hyperacuity
1265 perimetry (PHP) in patient self-testing for AMD (Loewenstein et al. 2003). The
1266 ForeseeHome™ (Notal Vision, Inc.) is a standalone device which is approved by the
1267 FDA and covered by Medicare. The test is a series of dotted lines that appear on the
1268 device screen, and patients use the provided mouse to click where a bump appears.
1269 Testing of each eye takes 3 minutes. The system runs on a standalone desktop
1270 device with unidirectional flow of information to the company's data centre via a
1271 wireless connection when prescribed by the patient's physician. The HOME study, a
1272 randomised trial of a home monitoring system designed for early detection of
1273 conversion of intermediate to neovascular AMD, demonstrated a smaller loss of
1274 vision in the device arm compared to standard care. This study was terminated early
1275 by the Data and Safety Monitoring Committee for efficacy (Group et al. 2014). A
1276 subsequent study showed that the home device arm had a higher neovascular AMD-
1277 detection rate than standard care group (relative risk = 16.0 [95% CI: 8.8-29.3]),
1278 resulting in less visual loss from baseline when compared with standard care group
1279 (-3 letters vs. -11.5 letters, p=0.03) (Chew et al. 2016). Notal Vision is developing a
1280 cloud-based OCT platform to monitor neovascular AMD. In 2018, the FDA granted
1281 breakthrough device designation to Notal Home OCT that uses AI and a ML
1282 algorithm to detect retinal fluid changes at home

1283

1284 The global rise of mobile health (mHealth) industry has led to the natural evolution of
1285 home monitoring using mobile or tablet devices. The International
1286 Telecommunication Union reports that over 5 billion wireless subscribers exist
1287 currently with over 70% of them residing in low- and middle- income countries.
1288 Smartphone-based Peek Acuity distance visual acuity tests, conducted in the Nakuru
1289 Eye Disease Cohort in central Kenya showed accurate and repeatable
1290 measurements compared to gold-standard 5-letter-per-line retro-illuminated logMAR
1291 charts (Bastawrous et al. 2015). Peek Acuity follows the standard ETDRS chart
1292 design with a tumbling E design. The patient indicates the direction of the arms of
1293 the E, and the tester swipes the screen accordingly. Single optotypes within a box are
1294 used to limit confusion simulating crowding. Standardized images/settings to
1295 counting fingers, hand movements and light perception are available. Peek Acuity
1296 Pro is a CE registered class 1 medical device.

1297

1298 For hyperacuity tests, there are currently two FDA-approved medical software
1299 applications, myVisiontrack™ and Alleye™ on mobile devices available for vision
1300 testing. myVisiontrack™ (mVT) uses a shape discrimination hyperacuity test. A
1301 feasibility pilot study on 160 patients with neovascular AMD receiving treatment
1302 demonstrated that they were willing and able to comply with daily self-testing (Kaiser
1303 et al. 2013). The mVT application was the first United States FDA-approved
1304 application (it received clearance on 3/24/13) for monitoring of AMD and DME using
1305 a smartphone (Micheletti et al. 2016). The patients touch the shape that looks
1306 different to track changes in vision. Based on its strength that is using a smartphone
1307 as the primary device, 84.7% on average patients (64% of those patients were older
1308 than 75 years) complied with a daily test, and 98.9% complied with weekly test
1309 (Kaiser et al. 2013).

1310

1311 The Alleye™ application (**Figure 5**), which similarly tests hyperacuity, but examines
1312 a larger area of the macula (12 degrees compared to 3 degrees of field) has
1313 demonstrated its ability to detect neovascular AMD and discriminately classify
1314 between dry and wet disease (Schmid et al. 2018; Schmid et al. 2019). To date,
1315 there are no longitudinal studies that demonstrate the clinical utility of mHealth based
1316 home monitoring applications. **Table 5** summarizes the aforementioned home
1317 monitoring systems.

1318

1319 Further clinical validation is required for mHealth based home monitoring tests in
1320 ophthalmology. The promise of which has implications for the management of other
1321 chronic eye diseases, such as DR and glaucoma, will allow greater patient autonomy
1322 and may improve their commitment to ongoing treatment which have been
1323 demonstrated in other diseases (Fischer et al. 2012). In addition, home monitoring
1324 such as home OCT may allow more individualized treatment interval, which may
1325 prove to be important during pandemic as well as in the future to limit in-person visit
1326 only when needed.

1327

1328 **3.4.2 Established tele-screening and monitoring in AMD**

1329

1330 The use of telemedicine for AMD in the United States has centered on AMD
1331 screening and remote-monitoring systems with some utilising artificial intelligence
1332 applications but as yet there are no large-scale programs for either screening or
1333 monitoring of AMD (Brady and Garg 2020). There are unique challenges to the
1334 screening and monitoring of AMD with lack of consensus on the suitability of the
1335 disease for population screening, and the need for OCTs rather than simple fundus
1336 photographs as used in DR screening and AI algorithms (Brady and Garg 2020). The
1337 Mayo Clinic established a telemedicine model for the treatment of patients with
1338 neovascular AMD who require intravitreal injection. The Mayo Clinic Health System
1339 includes local ophthalmologists with integrated EMR and imaging systems. Patients
1340 were treated with an initial course of 3 to 4 monthly intravitreal injections, with the
1341 interval between injections gradually extended up to every 12 weeks. Patients were
1342 given the option to follow-up locally with their home ophthalmologist for the
1343 management of their wet AMD under the direction of the Mayo Clinic retina specialist.
1344 Using OCT data and the local ophthalmologist's eye examination (clinical visit record
1345 including VA, IOP, and dilated fundus examination) performed at a local site, the
1346 Mayo Clinic retinal specialist made their recommendation for adjunctive anti-VEGF
1347 injections. The anti-VEGF injections were performed on the day of the examination
1348 or within one week at the local clinic. Data from 83 eyes of 59 patients with wet AMD
1349 who were followed up using an e-consultation system demonstrated that 68.5% of
1350 intravitreal injections were performed with the local ophthalmologist, and only 2.5%
1351 of the e-consultations recommended a return to the Mayo clinic for an in-person
1352 examination (Starr et al. 2019).

1353

1354 In the UK, non-doctor led virtual AMD clinics have been piloted and adopted since
1355 2012 to address the increased needs for follow-up appointments of the patient with
1356 AMD (Tsaousis et al. 2016). The virtual clinic is capable of performing visual acuity
1357 tests and OCT scans and offers up to two consecutive follow-up visits. Accordingly,
1358 the virtual clinics accounted for approximately 40% of AMD service appointments.
1359 With the introduction of the virtual clinics, patients were followed up with a mean of
1360 5.3 weeks compared to 6.9 weeks in the period of conventional clinics. The
1361 percentage of patients with mean VA improvement >15 letters was higher in patients
1362 monitored in the virtual visit compared with conventional group: 6.9% pre-virtual
1363 clinics compared to 23.1% with the virtual clinics, although p-values were not
1364 reported. While the number of appointments increased with virtual clinics, less time
1365 was needed during the virtual appointments. Although the numbers of injections
1366 were comparable, there was significant reduction in the time spent at each
1367 appointment from 71 minutes to 47 minutes ($p < 0.001$). This virtual service model can
1368 present benefits over the current system given potential improved patient visual and
1369 efficiency outcomes.

1370

1371 Telemedicine screening of AMD with a handheld portable nonmydriatic fundus
1372 camera is a newly described technique that can offer low-cost and effective
1373 screening, especially in the place with personnel shortages and limited photographic
1374 equipment (Jin et al. 2017). Combined with a WIFI transmission system, the images
1375 from portable fundus camera are transmitted from referral centres to the image
1376 reading board that is comprised of retinal specialists. After analyses, the diagnoses
1377 and comments are transmitted back to the referral centres. In addition, employment
1378 of AI with fundus images is another efficient method to screen for AMD. Keel et al
1379 (Keel et al. 2019) analyzed 56,113 retinal images to develop a DL system for
1380 neovascular AMD detection and validated this system using an additional 86,162
1381 images. The DL system showed robust performance for AMD detection, with an AUC,
1382 sensitivity and specificity of 0.995, 96.7%, and 96.4%, respectively. **Table 4** includes
1383 a summary of AI systems with their respective training datasets and diagnostic
1384 performance for macula pathology using OCT.

1385

1386 **3.5 Myopia**

1387

1388 Refractive error is a key public health concern with more than 650 million people
1389 suffering from insufficient or no refractive correction globally (Global Burden of
1390 Disease Study 2015) with the incidence of myopia increasing and poised to escalate
1391 further with urbanization and higher literacy rates (Pan, Ramamurthy, and Saw 2012).
1392 According to the WHO, uncorrected refractive errors account for 43% of all visual
1393 impairments (Snellen Acuity <6/18-3/60) in 2010, causing 250 billion US dollars in
1394 loss of productivity (Pascolini and Mariotti 2012; Smith et al. 2009; Fricke et al. 2012).
1395 Adding to this, the optometrist to population ratio is 1:10,000 in high-income
1396 countries and 1:600,000 in low and middle-income countries (Di Stefano 2001).

1397

1398 To evaluate refractive error, traditional visual acuity examination is time-consuming,
1399 and requires the availability of equipment, and examiners skilled in the art of
1400 prescribing spectacles. The procedure is also challenging for people with difficulty in
1401 expressing themselves, such as young children, the elderly, and patients with verbal
1402 communication disabilities. Moreover, the equipment for prescribing, notably the
1403 lenses required, is costly (Amirsolaimani et al. 2017). With the development of more
1404 automated approaches, automatic refractive error measurements become more
1405 widely used in large scale screening. However, limitations still exist like the need of
1406 costly investments for its equipment as well as the hiring of experienced examiners.
1407 Consequently, economic implications due to incorrect dispensing remain high even
1408 in developed countries (Vitale et al. 2006). Providing good quality refraction services
1409 acceptable to the general population is greatly needed.

1410

1411 While myopia alone increases the risk posterior segment complications, these risks
1412 are notably increased in pathologic myopia (PM) when potentially blinding posterior
1413 segment pathological changes appear as a result of the globe elongation
1414 (Grossniklaus and Green 1992). The diagnosis of PM, defined as peripapillary

1415 atrophy, myopic maculopathy, peripheral retinal breaks, generally requires a
1416 complete examination that includes assessment of the visual acuity and visual field
1417 and color fundus photograph acquisition tasks that are labor intensive and skill-
1418 dependent. Although some PM manifestations are not treatable, myopic choroidal
1419 neovascularization occur in 11% of PM patients and is a complication that can
1420 benefit from early identification and treatment (Hayashi et al. 2010). Thus, there is
1421 clearly a need for a sustainable method of monitoring PM eyes to reduce blinding
1422 complications, especially given that many PM patients are young or middle aged.

1423

1424 **3.5.1 Tele-myopia**

1425

1426 The focus of tele-myopia has been on to prediction of refractive error from easily
1427 obtainable and consistent methods proven in other disease; namely, using the
1428 acquisition of fundus photographs. To be able to accurately define refractive error to
1429 enable a prescription that is acceptable to the patient would be a significant leap
1430 forward in solving the burden of refractive error.

1431

1432 Several advanced techniques that assess refractive error accurately have been
1433 developed, and **Table 5** provides a summary of some novel techniques in refractive
1434 error assessment. DL algorithms have made it possible to predict refractive error
1435 accurately using fundus photographs, a feat that has proved impossible for
1436 ophthalmologists to perform, where only the spherical component can be predicted
1437 (Varadarajan et al. 2018). Algorithms are also able to detect PM from fundus
1438 photographs (Tan et al. 2009). The combination of fundus images and demographic
1439 or clinical data may further improve prediction accuracy (Cheng et al. 2012; Zhang et
1440 al. 2013). Recently, Lin et al (Lin et al. 2018) developed a model to predict the onset
1441 of high myopia at specific time points among school-aged teenagers, with an area
1442 under the AUC ranged from 0.801 to 0.837 in 8 years.

1443

1444 However, limitations are still present in these novel technologies in the assessment
1445 of refractive error. Visual impairment caused by other eye diseases, such as
1446 cataracts, glaucoma and retinal diseases cannot be detected accurately, and the
1447 measure of refractive error is consequently unreliable. Additionally, subjective factors
1448 such as patient fatigue impact output. Further studies may be required to improve
1449 the test-retest reproducibility as well as the consistency.

1450

1451 The Massachusetts Institute of Technology developed the Near Eye Tool for
1452 Refractive Assessment (NETRA), which may provide an interactive and inexpensive
1453 screening method to estimate the refractive error using mobile phones (Gaiser et al.
1454 2013). This portable device demonstrated comparable accuracy with marketed
1455 autorefractors and costed only \$30 for production, providing a reliable and cost-
1456 effective tool for refractive error screening, especially in areas without trained
1457 optometrists (Bastawrous A 2012). However, its accuracy requires further study
1458 encompassing a broader range of spectacle prescriptions. Another smartphone-

1459 based autorefractor is SVOne, a portable Hartmann-Shack wavefront aberrometer
1460 developed by Smart Vision Labs in New York (Ciuffreda and Rosenfield 2015). It is
1461 reported to have the potential to replace the standard optometric examination with
1462 comparable performance and features retinoscopy, subjective refraction, and two
1463 commercially available autorefractors. The Mayo Clinic ophthalmology department
1464 developed the Jaeb Visual Acuity Screener (JVAS) (Yamada et al. 2015), a
1465 computerized visual acuity screening program for children, which has a sensitivity
1466 ranging from 88% to 91% and specificity from 73% to 86%. This program provides
1467 an effective method for school systems to rapidly identify refractive error amongst
1468 children.

1469

1470 In Amsterdam, in order to increase access to refractive error screening, the Dutch
1471 company Easee BV developed an algorithm for a web-based tool for refractive error
1472 measurement using a smartphone and a standard computer screen (Wisse et al.
1473 2019). This technology demonstrated excellent correlation compared to the manifest
1474 refraction (gold standard) in 200 eyes, with an intraclass correlation coefficient (ICC)
1475 of 0.92.

1476

1477 Based on 687,063 longitudinal EMRs (129,242 individuals) in 8 ophthalmic centres
1478 between January 2005 and December 2015, researchers from Zhongshan
1479 Ophthalmic Centre in China have identified myopia development rules, and built an
1480 AI model to predict the onset of myopia and its progression for children and
1481 teenagers, providing a scientific basis for intervention to prevent myopia progression
1482 (Lin et al. 2018). With respect to the prediction of high myopia development by age
1483 18 years, the model provided clinically acceptable accuracy over 3 years (the AUC
1484 ranged from 0.940 to 0.985), 5 years (the AUC ranged from 0.856 to 0.901), and
1485 even 8 years (the AUC ranged from 0.801 to 0.837), by predictors including age at
1486 examination, spherical equivalent (SE), and annual progression rate. In addition, Li
1487 et al (Li et al. 2020; Li et al. 2019) developed DL systems with high accuracy (over
1488 96%) in detecting common comorbidities of high myopia, such as lattice
1489 degeneration, retinal breaks and retinal detachment, based on UWF images, which
1490 could further improve visual prognosis of myopia patients via timely medical
1491 intervention.

1492

1493 In India in 2014, a tele-based (virtually monitored) visual acuity examination with
1494 good performance was to be applied into a tele-eyecare system by the School of
1495 Allied Health Sciences in Manipal University and the Department of Ophthalmology
1496 in Kasturba Medical College (Sreelatha et al. 2014). Afterward, the Department of
1497 Teleophthalmology of the Medical Research Foundation carried out a pilot study,
1498 providing virtual tele-health eye care consultations for patients in low-resource
1499 areas(John et al. 2015). Instead of using traditional face-to-face video consultations,
1500 they employed real-time imaging for ophthalmologists to access, permitting virtual
1501 visits and instant sharing of fundus photographs, which yielded a 71% diagnosis rate
1502 of refractive error.

1503

1504 **3.6 Anterior segment diseases**

1505

1506 The health and integrity of cornea and lens are critical for normal vision. Despite
1507 considerable advancement in surgical technology, intraocular lens design, and
1508 biometry calculation for cataract surgery (Liu et al. 2017; Ting, Rees, et al. 2017;
1509 Brandsdorfer and Kang 2018) cataract remains the leading cause of reversible
1510 blindness in the world, affecting approximately 12.6 million people globally (Flaxman
1511 et al. 2017). On the other hand, corneal opacity represents the 5th leading cause of
1512 blindness, with 1.3 million people being affected (Flaxman et al. 2017). Whilst not
1513 being well captured in most epidemiological studies, unilateral corneal blindness –
1514 primarily caused by corneal ulceration and trauma – is estimated to have affected 23
1515 million people globally (Oliva, Schottman, and Gulati 2012). Furthermore, cataract
1516 and corneal opacity-related blindness is significantly more prevalent in under-
1517 resourced developing countries, adding another dimension to the challenges in
1518 tackling these global burdens (Flaxman et al. 2017). In this section, we review the
1519 evidence in the literature in relation to how tele-health and AI could be or have been
1520 deployed to a variety of anterior segment conditions, particularly for cataract and
1521 corneal diseases, in different countries.

1522

1523 **3.6.1 Cataract screening and integration with AI**

1524

1525 Inadequate service provision for cataract screening remains a major barrier to
1526 tackling the rising burden of cataract-related blindness and visual impairment. Early
1527 detection and timely management of cataract are essential for improving patient's life
1528 quality and reducing healthcare burdens (Limwattananon et al. 2018). In 2008-2009,
1529 an ophthalmic mass screening programme, known as the Beijing Eye Public Health
1530 Care Project, was established to screen all elderly patients (55-85 years old) residing
1531 in the rural regions surrounding Beijing (Xu et al. 2012). With a systematic set-up of
1532 the programme and incorporation of a tele-health approach (where visual acuity data,
1533 anterior segment photographs and fundus photographs were electronically
1534 transferred from the community to the reading centre of Beijing Institute of
1535 Ophthalmology and evaluated within 24 hours), the programme was able to screen
1536 more than 500,000 people for cataract, DR, glaucoma and other major ocular
1537 diseases. More importantly, the programme demonstrated superior cost-
1538 effectiveness where it only costed around 0.50 USD to screen one patient (Xu et al.
1539 2012).

1540

1541 In the Beijing Eye Public Health Care Project if visual acuity was less than 0.30,
1542 individuals were referred to primary care centres where ocular photographs were
1543 taken. Then the photographs were transmitted electronically to a reading centre
1544 where the causes for visual impairment were diagnosed. Among 37,281 individuals,
1545 19,163 were diagnosed with cataract, and were recommended to visit local
1546 ophthalmologists. Recently, a universal AI platform and multilevel collaborative

1547 pattern displayed robust performance for cataracts detection in three-step tasks: (1)
1548 capture mode recognition (AUC: 99.3%– 99.7%), (2) cataracts diagnoses (normal
1549 lens, cataracts or postoperative eye with AUCs of 99.8%, 99.9% and 99.9% using
1550 mydriatic-slit lamp mode and AUCs >99% using other capture modes) and (3)
1551 identification of referable cataracts (AUCs >91% in all tests) (Wu et al. 2019) Even
1552 for detection of rare cataract variants like congenital cataracts, the AI agent shows
1553 robust performance, which has the potential to serve as a complementary screening
1554 approach, especially in undeveloped and remote regions (Wu et al. 2019).

1555
1556 In addition, the workflow of tele-cataract screening measured by ophthalmologist-to-
1557 population service ratio, can be further enhanced with integration of AI technology
1558 (Ting, Ang, et al. 2019; Ting, Foo, et al. 2020). Wu et al presented a three-tiered,
1559 collaborative tele-medicine platform for cataract screening in China, starting from
1560 primary home-based self-monitoring, followed by secondary community-based
1561 assessment and tertiary referral to hospital specialty services for visually significant
1562 cataract. The self-monitoring was performed at home using a mobile phone by the
1563 patient and a website-based reference platform for cataract diagnosis. In cases of
1564 suspected cataract, slit-lamp photographs along with medical history were obtained
1565 during the community-based assessment and uploaded to a website-based cloud
1566 platform for confirmation of diagnosis and further management. Furthermore, when
1567 incorporated with a universal AI technology, the workflow was improved 10-fold.

1568

1569 **3.6.2 Cornea tele-diagnosis**

1570

1571 Infectious keratitis represents the leading cause of corneal blindness globally,
1572 particularly in developing countries (Ung et al. 2019). To address this issue, Maamari
1573 et al (Maamari et al. 2014) developed a novel tele-health platform using mobile
1574 phones to detect and diagnose corneal abrasions and ulcers in Chiang Mai, Thailand.
1575 A smartphone attachment, consisting of a +25-dioptre lens and white and blue light-
1576 emitting diode (LED) light sources, was specially designed to acquire white-light and
1577 fluorescein images, respectively. When compared to the on-site ophthalmologist
1578 (based on slit-lamp assessment), the diagnostic performance of detecting a corneal
1579 ulcer by the off-site ophthalmologists (based on photographic assessment only) was
1580 excellent (83-89% sensitivity and 91-97% specificity) (Maamari et al. 2014). Similarly,
1581 Woodward et al (Woodward et al. 2017) piloted a study evaluating the reliability and
1582 accuracy of a tele-health approach (using two portable cameras – iTorch 5S and
1583 Nidek VersaCam) in detecting a variety of corneal diseases, including corneal
1584 abrasions, ulcers, scars, and pterygia. The sensitivity and specificity to detect
1585 corneal pathologies ranged from 54-75% and 82-98%, respectively, with corneal
1586 scars having the lowest accuracy score. The findings suggested that the quality and
1587 resolution of the obtained anterior segment images were not yet adequate for tele-
1588 health applications, highlighting further need for refinement (Woodward et al. 2017).

1589

1590 Dry eye disease (DED) is another prevalent ocular surface disease that is estimated
1591 to affect around 5-50% of the population (Stapleton et al. 2017). Patients affected by
1592 this chronic disease often require multiple follow-up visits for monitoring and
1593 treatment. To reduce the workload of the healthcare system and the number of
1594 physical visits by the patients, Amparo and Dana (Amparo and Dana 2018)
1595 evaluated the feasibility of remote assessment and monitoring of DED using
1596 electronic versions of validated questionnaires such as Ocular Surface Disease
1597 Index (OSDI) and Symptom Assessment in Dry Eye (SANDE) questionnaires.
1598 Patients were found to be sufficiently motivated to report their symptoms at least
1599 once a month with a good correlation between the two dry eye questionnaires
1600 ($r=0.67$), underscoring the potential utility of a tele-health approach for monitoring
1601 DED(Amparo and Dana 2018). In a similar vein, Inomata et al(Inomata et al. 2019)
1602 reported using a smartphone app, DryEyeRhythm, to determine the characteristics
1603 and risk factors of diagnosed and undiagnosed symptomatic DED, allowing for
1604 earlier detection and intervention.

1605

1606 Furthermore, Alabi et al (Alabi et al. 2019) have investigated the potential utility of
1607 tele-health consultation in evaluating the suitability of donor corneal tissue for
1608 transplantation. With high quality digital images obtained from the slit-lamp, OCT
1609 and/or specular microscopy, the quality of donor corneal tissues could be reliably
1610 assessed remotely (Alabi et al. 2019).

1611

1612 **3.7 Acute care services**

1613

1614 Acute care ophthalmology presents a challenge due to the sheer volume of patient
1615 visits and the limited exposure to ophthalmology during training amongst non-
1616 ophthalmic providers. There are approximately 2 million admissions to the
1617 emergency department each year for ophthalmic conditions in the US (Rathi et al.
1618 2017) and over 5 million visits to general practitioners a year in the UK were for
1619 ophthalmic conditions (The Royal College of Ophthalmologists 2015). Diagnosis via
1620 telemedicine presents different challenges in comparison to screening. Screening is
1621 repetitive and elective, and the process can be planned with clarity for the input,
1622 processing and outputs. For diagnosis, on the other hand, a telemedicine diagnostic
1623 service must consider a much wider variety of conditions and include more abnormal
1624 conditions. In addition, it is more challenging to streamline and process input data in
1625 manner that achieves high diagnostic accuracy. Achieving such accuracy requires
1626 highly trained personnel.

1627

1628 The Scottish Government Health Department invested £6.6 million in 2010 to fund
1629 the Eyecare Integration Project (Scotland) with an aim to establish an integrated
1630 electronic referral system between community optometry services and hospital eye
1631 services in replacement of the traditional postal referral service (Khan, Mustafa, and
1632 Sanders 2015). This programme was first initiated and piloted at Fife, Scotland, in
1633 2005-2007 to assess the feasibility, safety and cost-effectiveness of the electronic

1634 referral system for ophthalmic diseases. The study demonstrated excellent (97%)
1635 clinical agreement between the clinical and e-diagnosis, high (97%) patient
1636 satisfaction, and 37% reduction of unnecessary referral to the hospital eye services.
1637 Moreover, the referrals (with digital images if necessary) were processed within 24
1638 hours, enabling a timely triage and management of any urgent and sight-threatening
1639 diseases. When this programme went live throughout southeast Scotland, the
1640 referral-to-consultation waiting time was reduced from 14 weeks to 4 weeks. The
1641 foundation of this integration project enabled the safe delivery of eye care services
1642 during the COVID-19 pandemic with many primary and urgent eye care services
1643 enabling non-hospital patient care (NHS Scotland 2020).

1644
1645 A cloud-based referral system in the UK has demonstrated that more than half of
1646 referrals for possible retinal pathologies to hospital eye services from optometrists
1647 could be avoided with a consultant ophthalmologist reviewing fundus photographs of
1648 the referred patients (Kern et al. 2019), similar to the pathway shown in **Figure 6**.
1649 Although there are still many factors to be addressed such as safety, economic
1650 benefit, patient satisfaction, and outcomes for those patients who were not referred,
1651 there are notable advantages such as timely patient triage, enhanced provider
1652 correspondence and education. This system enabled the referring doctor to be able
1653 to receive the patient outcome via the platform, allowing each case to be an
1654 educational opportunity.

1655
1656 The safety of remote triage in emergency ophthalmology still needs to be
1657 demonstrated. One early study showed that of 500 patients who were triaged
1658 remotely in an emergency unit, 1% had delayed treatment due to misdiagnosis
1659 (Bourdon et al. 2020). Prior to widespread adoption of tele-triage, the potential for
1660 harm needs to be more accurately characterised as well as mechanisms put in place
1661 to mitigate the shortcomings of remote reviews. Since in the absence the visual
1662 clues for diagnosis are limited for patients calling from home, there should be a lower
1663 threshold for in person review for those unable to give a clear history such as
1664 children, patients with cognitive impairment and learning disabilities, and where
1665 language barriers exist. Certain symptoms and signs should always warrant in-
1666 person review

1667 1668 **4. Applications in post-COVID-19 “new normal”**

1669 1670 **4.1 COVID-19 Pandemic Outbreak**

1671
1672 In March 2020, Ferguson et al modelled two fundamental strategies in the control of
1673 community spread of SARS-CoV-2; mitigation versus suppression (Ferguson et al.
1674 2020). Mitigation focusses on reducing social contacts aiming to slow but not
1675 interrupting transmission so as not to overwhelm health systems. Suppression
1676 strategies involve more aggressive social distancing measures with testing and
1677 isolation of cases in an effort to stop transmission. With the mitigation approach, the

1678 study found that 8 of 10 people may still be affected, resulting in 510,000 deaths in
1679 the UK and 2.2 million deaths in the US by the end of the pandemic. The study
1680 suggested infected cases could be significantly decreased with a suppression
1681 strategy (“lockdown”), which involved closing schools/universities, case isolation,
1682 household quarantine and social distancing.

1683
1684 As country after country began imposing “lockdown” measures, including
1685 quarantines and travel bans in an unprecedented scale (Parmet and Sinha 2020).
1686 China placed Wuhan under strict quarantine on 23 January 2020, and as Wan et al
1687 concluded in their paper, “The end of *cordon sanitaire* in Wuhan: the role of non-
1688 pharmaceutical interventions”, it was these measures that allowed Wuhan to lift
1689 restrictions on 8 April 2020. In the absence of vaccine and proven specific
1690 treatments, the authors propose that the experience and results achieved by Wuhan
1691 could serve as a good reference for leaders and policy-makers around the world in
1692 formulating strategies and policies in fighting against COVID-19 (Wan et al. 2020).

1693
1694 Health care systems have been implementing strategies to maximise capacity for
1695 patients falling ill with COVID-19 with the principles of suppression in mind. In
1696 ophthalmology and many other specialties, non-urgent appointments and
1697 routine/elective surgeries were cancelled. In an effort to curtail the numbers of
1698 patients presenting to healthcare settings while still providing essential service to
1699 patients, hospitals and clinics were forced to rapidly upscale telemedicine services
1700 (Saleem et al. 2020; Sim, Thomas, and Canning 2020; Wickham et al. 2020). As in
1701 other specialties, telemedicine was employed to follow-up routine patients, and to
1702 triage and manage new patients presenting to ophthalmology departments.
1703 Telephone consultations alone could suffice for some patients, but the addition of
1704 video features allows the clinician additional information to more appropriately triage
1705 a patient. Live video information can be particularly useful in specialties such as
1706 oculoplastics (Kang et al. 2020) and strabismus, but also in external eye diseases
1707 where corneal infiltrates may be observed. Furthermore, telemedicine allows for non-
1708 verbal communication and aids in fostering physician-patient engagement. Effective
1709 triage not only keeps many patients out of the hospital but can also shorten the
1710 patient’s journey once they arrive in hospital. A patient with classic symptoms of a
1711 retinal detachment may bypass the emergency department and be referred directly
1712 to a vitreoretinal surgeon.

1713
1714 The rapid introduction of telemedicine and teleophthalmology during the pandemic
1715 has moved beyond the traditional model of connecting specialists with patients from
1716 remote and underserved regions. Instead it has the potential to become the new
1717 standard of care, in particular for triaging patients prior to their hospital attendance.
1718 The new telemedicine systems replacing routine care needs evaluation to ensure
1719 patient safety.

1720

1721 Governments such as the China and the US have taken steps to facilitate the rapid
1722 upscaling of these services, with the Chinese national health insurance agency
1723 covering virtual consultation fees, and the US Centres for Medicare and Medicaid
1724 Services (CMS) implementing temporary waivers to enable flexibility within the
1725 healthcare system (Webster 2020). The manifold surge in uptake reported by CMS is
1726 staggering: nearly 1.7 million beneficiaries receiving telehealth services in the last
1727 week of April, 2020 compared to around 13,000 beneficiaries a week prior to the
1728 pandemic (Verma 2020). Of the 9 million beneficiaries who used a telehealth service
1729 three months from mid March 2020, 30% were conducted over the telephone
1730 suggesting there is still significant work to be done in terms of telecommunications
1731 network, healthcare facilities and clinicians adopting new applications, and
1732 consideration of patient factors.

1733
1734 As countries consider the model of eyecare in the post-COVID-19 “new normal”,
1735 there are several key considerations (**Table 6**). First, services must allow for
1736 sustainable social distancing measures for protection of patients, staff and the public.
1737 Second, those at high risk of serious morbidity and mortality with COVID-19 should
1738 be facilitated to isolate wherever possible with access to services at home. Third,
1739 plans must be in place for the management of patients who develop eye conditions
1740 concurrently with COVID-19. Fourth, contingency to manage the ‘surge’ of patients
1741 who have had deferred appointments or presented late as a result of “lockdown”.
1742 Fifth, services should have the agility to expand and shut down to essential
1743 provisions responsively in preparation for future peaks of COVID-19, and indeed
1744 other future pandemics. Finally, there should be measures in place to continually
1745 assess the outcomes of these services to ensure quality of care.

1746
1747 The COVID-19 pandemic has come at a time when many technologies and the
1748 necessary infrastructure are mature and already established. Much can be achieved
1749 with simple and universally available technologies such as telephones, messaging,
1750 and video-calling, albeit via safer and secure applications. Subsequently, more
1751 sophisticated eye examinations via telemedicine can occur. This pandemic has
1752 significantly altered the landscape of health care delivery and may have permanent
1753 implications. Time is still needed to establish the safety telemedicine on a massive
1754 scale, but the paradigm shift in acceptability to both patients and doctors will be
1755 profound. Aside from the technical and infrastructural challenges, there are concerns
1756 over how patients will respond to such a shift in healthcare delivery, and if the loss of
1757 rapport gained from physical interaction will cause harm. Clinicians are also
1758 discovering that face-to-face healthcare delivery in the post-COVID era has also
1759 changed. Face masks and social distancing result in loss some of the non-verbal
1760 communication, impede the delivery of empathy. Though there is physical distancing
1761 over a video-consultation, patients are able to see their doctor, and both are able to
1762 see the facial expressions of the other. Acceptance in both patients and physicians is
1763 on the increase (Pappot, Taarnhoj, and Pappot 2020; Hao 2020). Even when
1764 teleophthalmology services have been rapidly adopted during the pandemic,

1765 feedback from a prospective study of 66 patients in an oculoplastics service reported
1766 62% preferred the video consultations to face-to-face, and in this group ranging from
1767 18 years to 88 years (mean 50.7 years), 92% would recommend video consultation
1768 to others (Kang et al. 2020). Teleophthalmology user platform design can and should
1769 be designed to improve acceptability and accessibility for all potential users without
1770 excluding those with minimal digital literacy. Thus studies into patient attitude should
1771 take care to compare tele-consultations with in-person visits in the current and future
1772 state, rather than the past.

1773

1774 **4.2. New models of care**

1775

1776 It would not be possible to provide care at pre-COVID-19 levels whilst practicing
1777 social distancing and maintaining a safe environment for patients and staff alike.
1778 New models of care are being and need to continue to be rapidly upscaled to enable
1779 safe delivery of care until an effective vaccine or treatment is found for COVID-19.

1780

1781 The overriding principle of safe care in the COVID-19 in ophthalmic practice is
1782 minimizing exposure: mainly by reducing the number and duration of in-person clinic
1783 visits. Assessments, tests, consultations and even pharmacy and interventions need
1784 to be minimised to those essential for safe care. The integration of
1785 teleophthalmology will be fundamental and can be utilised at multiple points of a
1786 patient's eye care journey. Telemedicine can be and already is being adopted for
1787 large screening programmes, most notably and successfully for DR (Scanlon 2017;
1788 Nguyen et al. 2016; Cavallerano et al. 2005). Teleophthalmology can be upscaled to
1789 provide broader coverage, and promote onward referral of patients from screening to
1790 hospital eye services remotely with patients only seen in person if necessary, such
1791 as to provide treatment.

1792

1793 Teleophthalmology and in particular the use of video consultations facilitates forward
1794 triaging (Wickham et al. 2020), and routine clinic assessments particularly in
1795 subspecialties where visual clues are more readily discernible without need for
1796 magnification and close examination, such as oculoplastics (Kang et al. 2020) and
1797 strabismus. **Figure 7** provides an example of semi-automated remote triage
1798 workflow for emergency ophthalmology.

1799

1800 Non-ophthalmologist health care workers including optometrists, nurses and
1801 technicians should be trained in multiple skills if possible so that a single person may
1802 perform several tasks such as assessment of visual acuity and intraocular pressure,
1803 instead of patients moving through a number of different clinical staff each
1804 performing a specific task. This improves efficiency and limits exposure risk.
1805 Furthermore, integrating second opinion services to primary care and optometry
1806 practices may enable more appropriate referral into specialized eye units.

1807

1808 These measures protect patients and health care workers and contribute to the
1809 larger public health measures. Telemedicine also enable ophthalmologists in
1810 isolation to continue to contribute in clinical work and lessen the impact of key staff
1811 shortages.

1812

1813 **4.3. Opportunities for digital technology**

1814

1815 This current climate provides the perfect ecosystem to reassess care delivery and to
1816 adopt the synergistic and complementary digital technologies discussed above,
1817 incorporating teleophthalmology and AI utilising and facilitated by 5G networks, IoT
1818 and Big Data analysis. There is widespread media interest and raising of public
1819 awareness of the role telemedicine has already started to play in risk mitigation
1820 during the pandemic.

1821

1822 The emergency department may be a good candidate for widespread introduction of
1823 virtual triage prior to attending in person. The patient benefits as they may discover
1824 they do not need to attend in person, and can be treated with medicines prescribed
1825 remotely. If they do need to attend, their in hospital journey may be much more
1826 efficiently managed, being seen directly by the specialists if appropriate. Additionally,
1827 with the maturation of chatbots, much of the patient counselling can be done
1828 seamlessly from the video consultation.

1829

1830 The healthcare providers too reap the benefits of reduced in person attendance,
1831 costs associated with additional time and space utilisation, as well as use of personal
1832 protective equipment at a time where sustainability must also always be considered.
1833 Staff who are able to work from home can contribute, facilitating efficient use of
1834 human resources. Reduced attendances also reduces the general workforce risk of
1835 COVID-19, avoiding the highly undesirable scenario of transmission between
1836 clinicians and patients.

1837

1838 Safety of such systems, the remote triaging and automated counselling need to be
1839 evaluated, and until then, clinicians need to oversee each consultation as is standard
1840 process prior to the pandemic.

1841

1842 The figure below demonstrates how a virtual video-based triaging system, with semi-
1843 automated features such as registration and counselling, might work. When patients
1844 register, there can be early algorithmic assessment of their presenting complaint.
1845 Symptoms such as flashing lights and floaters, new binocular double vision and new
1846 anisocoria will invariably require in-person examination, and as such can be directed
1847 early to a physical appointment without the patient waiting for a full virtual
1848 assessment first. Patients who do not necessarily require clinician input, such as
1849 mild dry eyes or chalazia, or followup patients who have seen resolution of their
1850 symptoms, for example treated pre-septal cellulitis or contact-lens related keratitis,
1851 can be directed to a chatbot or video for discussion. The remaining patients will be

1852 connected to a clinician when can proceed with a full history and basic examination
1853 which may involve visual acuity assessment using web-based tools. For conditions
1854 that may be managed remotely, such as early pre-septal cellulitis, mild recurrent
1855 anterior uveitis or indeed early non-vision involving contact lens associated keratitis,
1856 medication can be prescribed and sent to the patient via a dedicated delivery service
1857 or local pharmacy. If necessary, plans can be made for the patient to attend in
1858 person for review.

1859

1860 Finally, the disparity in the coverage of reimbursement among different health care
1861 insurance policies and different regions that have deterred the uptake of tele-health
1862 intervention is changing. Teleconsultations are now offered by providers in the UK
1863 NHS (Wickham et al. 2020), and covered by the Chinese national health insurance
1864 scheme and by Medicare and Medicaid in the US (Webster 2020). These changes
1865 are a product of national health strategies and this new permissive regulatory
1866 environment and funding along with patient and physician acceptance, will allow the
1867 digital transformation of ophthalmology services.

1868

1869 Digital transformation through the adoption of teleophthalmology and AI is more than
1870 simply buying new software and hardware, and the next section explores some of
1871 the key challenges to be overcome.

1872

1873 **5. Challenges for clinical implementations**

1874

1875 **5.1. Validation of digital innovation**

1876

1877 Real-world validation has proven to be challenging. The size and heterogenous
1878 nature of the digital health sector with its constant and rapid evolution has created a
1879 complex environment for physicians, healthcare providers, patients and regulatory
1880 bodies in assess these tools to address unmet clinical needs (Mathews et al. 2019).
1881 There is a need for a rigorous and transparent validation framework, which has some
1882 flexibility in being applied to a broad range of technological innovations. One
1883 proposed framework suggests evaluation based on technical and clinical
1884 considerations, usability, and cost (Mathews et al. 2019).

1885

1886 Technical evaluation is the most obvious, and is the first step to validation. This is
1887 the fundamental aspect of the technology, and should address if the technology
1888 performs its purported function, its accuracy and robustness. For example, does a
1889 video consultation platform enable patients to register to a virtual waiting room and
1890 be connected to the appropriate clinicians in a safe and effective manner, with due
1891 consideration for data protection.

1892

1893 Clinical validation approaches should reflect those that are well established in clinical
1894 research, but can be tailored for digital technologies. Such studies are still
1895 uncommon and may be at least in part due to the lack of clinical experts

1896 simultaneously engaged with technological advances (Hatef, Sharfstein, and
 1897 Labrique 2018) The cost of prospective clinical trials as a comparison to existing gold
 1898 standards may be off-putting for some in the technology sector who seek rapid
 1899 product cycles and returns.

1900
 1901 Usability, and also accessibility, and the intended user of the technology must be
 1902 assessed. Clinicians may need new skills in order to effectively use the tools. The
 1903 effectiveness of their use by patients unsupervised should be assessed, as well as
 1904 consideration of those who face barriers in adopting the technologies.

1905
 1906 Cost, and cost effectiveness, as well as the longer term costs should be estimated.
 1907 Costs may be obvious, such as purchasing the rights to an algorithm, or hidden,
 1908 such as increased referrals seen through telemedicine screening services.
 1909 Implications for all stakeholders needs to be considered, from the patient to clinician,
 1910 to funding bodies as well as the state.

1911
 1912 Regulatory bodies attempt to provide guidance for users and payers. AI is
 1913 considered as a medical device, and regulation for its use falls to organisations such
 1914 as the US Food and Drug Administration (FDA) and CE marking, a certification
 1915 mark that indicates compliance with health, safety, and environmental protection
 1916 standards for products sold within the European Economic Area (EEA). Approvals
 1917 for technologies that change at such a rapid pace will no doubt continue to provide
 1918 challenges to regulators. As approvals become increasingly standardised for
 1919 different digital modalities, and the regulators gain experience in assessing their
 1920 suitability for clinical use, the efficiency and effectiveness of the assessments should
 1921 improve. With the algorithms not being a physical product, and that the algorithms
 1922 may indeed be 'live' and be continually improved, there should be mechanisms in
 1923 place to allow updates to be submitted for reapproval so that upgrades need not go
 1924 through the full approval process. Increased requirement by regulators for
 1925 developers and companies may gradually influence greater rigors in all aspects of
 1926 validation to help create clinically meaningful and cost-effective technologies that are
 1927 likely to be adopted by users. Some specific challenges to validation, as well as
 1928 implementation, in telemedicine and AI are discussed below.

1929 1930 **5.1 Challenges to clinical deployment of telemedicine in ophthalmology**

1931
 1932 With the ageing population, rising disease prevalence and expanding treatment
 1933 options, drastic and innovative tackling measures are necessary to curb the
 1934 mounting pressure on ophthalmic services (Buchan et al. 2019). This pressure has
 1935 been further intensified by the pandemic. According to a UK-wide study conducted
 1936 prior to the pandemic, patients incurred significant sight loss (3 or more Snellen-line
 1937 vision loss in at least one eye) due to delayed hospital-initiated follow-up (Foot and
 1938 MacEwen 2017). In addition, studies have shown that delay in hospital review during
 1939 COVID-19 pandemic lockdown could result in significant psychological impact on

1940 people with visual impairment (Ting, Krause, et al. 2020).The excess ophthalmic
 1941 morbidity as a result of deferred eye treatment during the pandemic is yet to be
 1942 evaluated. The introduction of tele-health and AI present an attractive solution to
 1943 increase service capacity in ophthalmology. Their introduction into a complex system
 1944 at such a scale, and potentially impacting all patient journeys in the future requires
 1945 urgent and careful consideration of a number of factors (Greenhalgh et al. 2020;
 1946 Keesara, Jonas, and Schulman 2020).

1947
 1948 The costs of tele-health ophthalmic equipment and additional personnel training,
 1949 potential barrier to new technology adoption amongst physicians and patients, and
 1950 heterogeneity in the insurance policy and medico-legal regulations are key
 1951 challenges for clinical implementation of teleophthalmology (Rathi et al. 2017).
 1952 Common ophthalmic imaging equipment such as slit-lamp microscopy, fundus
 1953 camera, and optical coherence tomography all pose high cost. Additional costs are
 1954 incurred for training personnel and technicians to acquire sufficiently high-quality
 1955 images for clinical use. To overcome these barriers, various research groups have
 1956 developed programs to acquiring high-quality anterior and posterior segment
 1957 images, instead of using conventional ophthalmic imaging (Ludwig et al. 2016;
 1958 Johnson et al. 2015; Goyal et al. 2019; Hogarty, Hogarty, and Hewitt 2019). However,
 1959 noteworthy is that these devices will need to gain validation and FDA or equivalent
 1960 regulatory agency approval before they can be introduced into clinical practice.
 1961 Digital accessibility, the affordability and availability of both smartphones with
 1962 necessary capabilities such as high image resolution and sufficient internet
 1963 bandwidth may limit the number of patients who are able to receive telemedicine
 1964 services.

1965
 1966 Technical concerns exist that still require ongoing improvement, including ensuring
 1967 security and reliability, contingency, and improved audio and video quality. Although
 1968 successful examples of screening DR, ROP, AMD, glaucoma and cataract using
 1969 digital slit-lamp and fundus images have been reported and implemented in some
 1970 countries, use of tele-health in anterior segment diseases requires further evaluation
 1971 and clinical validation. For instance, the sensitivity of detecting corneal pathologies,
 1972 particularly corneal scars, using portable cameras (with 5.0 megapixels) was not
 1973 adequate for tele-health application (Woodward et al. 2017). In addition,
 1974 teleophthalmology evaluation may fail to detect subtle signs such as corneal oedema
 1975 and anterior chamber inflammation (cells and flare) that may be only detectable with
 1976 high-resolution, high-contrast and dynamic assessment (Threlkeld et al. 1999; Smith
 1977 et al. 2003), particularly when the high-quality images/videos are required to be
 1978 compressed before being transferred electronically. These issues highlight the
 1979 importance of disease selection in when establishing a tele-health programme.

1980
 1981 The use of a universal patient co-managed EHR system could help streamline the
 1982 process of data acquisition, analysis and transfer among different institutions at
 1983 different levels of care, though this aim has yet to be actualised in the real-world

1984 setting. Common perceived barriers among physicians for integrating EHR within
 1985 their clinical practice include time taken to implement, cost, absence of computer skill,
 1986 workflow disruption and security and privacy concerns (Ajami and Bagheri-Tadi
 1987 2013). Some of these issues might be potentially overcome with education and
 1988 training of the end-users and provision of financial incentives by the government for
 1989 meaningful use of EHR system (Patel et al. 2013).

1990

1991 After validating the technological and clinical performance, cost-effectiveness
 1992 represents the next hurdle to be overcome before the implementation of a specific
 1993 tele-health programme. A notable example was reported in the UK where a large
 1994 randomised controlled trial in England evaluating the cost-effectiveness of tele-health
 1995 intervention for long-term conditions (including heart failure, chronic obstructive
 1996 pulmonary disease, and diabetes) demonstrated no additional benefit when
 1997 compared to standard care (Henderson et al. 2013). That said, tele-ophthalmology
 1998 intervention, particularly for DR screening, has proven to be a cost-effective
 1999 approach and is already being implemented in many countries, including the US, UK,
 2000 and Singapore, at nationwide levels (Kirkizlar et al. 2013; Scanlon 2017; Nguyen et
 2001 al. 2016). Further cost-effectiveness analysis of tele-health intervention for other
 2002 ophthalmic conditions will be required before integrating them with traditional care.

2003

2004 Implementation requires leadership at all levels. National and professional regulatory
 2005 bodies should widely engage in discussions to develop best protocols based on
 2006 learnings post successful and failed programs, offer support to local institutions and
 2007 individuals, and highlight areas requiring further research. Regional clinical
 2008 champions with a compelling narrative and sound knowledge of existing workflows
 2009 should lead implementation, ensuring new platforms and processes are developed to
 2010 provide a seamless journey from start to finish, including the delivery of prescriptions.
 2011 Strategies should be embedded to examine the successes and failures of new
 2012 processes to enable a cycle of ongoing reflection and improvement.

2013

2014 Patient receptivity and satisfaction is another potential barrier for the adoption of
 2015 tele-health technology, but this is already changing in light of the pandemic (Pappot,
 2016 Taarnhoj, and Pappot 2020). Wildenbos et al (Wildenbos, Peute, and Jaspers 2018)
 2017 conducted a systematic review evaluating the ageing barriers on tele-health and
 2018 reported four major deterring domains on the usability of tele-health among the
 2019 elderly population (>50 years old), including cognition, motivation, physical ability
 2020 and perception. Recent studies have highlighted a better patients' satisfaction
 2021 towards tele-medicine approach compared to traditional face-to-face consultation
 2022 (Zahlmann et al. 2002; Khan, Mustafa, and Sanders 2015), suggesting a positive
 2023 change in the culture and perception among patients.

2024

2025 Orbis International uses a free online ophthalmic telemedicine program partnering
 2026 doctors in developing countries with expert mentors internationally (Prakalapakorn,
 2027 Smallwood, and Helveston 2012). In a survey of this offering, they reported e-

2028 consultations were well received by users, with 96% of 107 users wishing to continue
2029 its use, and 94% of the 78 not using the system wishing to do so. Whilst success in
2030 terms of patient and physician satisfaction has been demonstrated with this 'store-
2031 and-forward' format for individuals, there are real challenges to their scalability.
2032 Logistical challenges of such a program include the lack of medical information
2033 consistency (especially in cases which require external expert input are usually of a
2034 higher level of complexity), combining medical data acquired in different formats, and
2035 ensuring secure, timely, and uncorrupted data transmission. Additional challenges
2036 include language barrier, interpretability of handwritten notes, and interconversion
2037 between analogue and digital images. Often the manpower involved in data capture
2038 and transmission limits service capabilities, especially in an acute setting.

2039
2040 From a medicolegal perspective, physician-patient interaction in tele-health is
2041 currently considered the same as face-to-face consultation. Though physicians are
2042 concerned about missing a diagnosis or finding (due to inadequate medical
2043 information or suboptimal image quality), the digital images used could serve as a
2044 powerful objective evidence of the consultation. Another noteworthy aspect is that
2045 laws governing physician-patient interactions are disparate across states and
2046 countries. Having an overarching regulation of telemedicine would expedite the
2047 introduction and implementation of telemedicine in routine healthcare service
2048 (American Academy Ophthalmology (AAO) Telemedicine Task Force 2018). There
2049 is emerging correlation between telemedicine and over-prescribing and the highlights
2050 the need for ongoing review of new services and realworld outcomes to better inform
2051 the delicate and changing balance between accessibility and quality of care
2052 (Hoffman 2020).

2053
2054 Regulation of telemedicine is also evolving. The Centres of Medicare and Medicaid
2055 Services (CMS) broadened provision of telehealth services as part of the emergency
2056 response to the COVID-19 pandemic to enable provision of care whilst limited
2057 community spread of the virus (Centres for Medicare & Medicaid Services 2020).
2058 Under a new waiver, Medicare can pay for much broader range of telehealth
2059 services compared to quite limited provisions previously. However, how this will
2060 continue, and the impact of changing regulation and funding streams in this post-
2061 COVID era remains to be seen.

2062 2063 **5.2 Challenges in clinical deployment of AI**

2064
2065 AI has remained largely constrained to the research domain with few examples of
2066 real-world adoption in ophthalmology and healthcare more generally. There are
2067 many contributing factors for this. Whilst there is enormous interest and increasingly
2068 robust evidence for the role of AI in DR screening, there are still several caveats that
2069 need to be considered.

2070

2071 DL algorithm uses the “black box” approach where clinical features that confirm a
2072 diagnosis are not apparent. To underscore the reasons prompting a specific
2073 diagnosis by algorithms would be highly beneficial as it allows for clinicians to
2074 understand assess if the correct features were identified, and to offer new insight into
2075 diseases not previously known. This lack of explainability is a hurdle both for clinician
2076 and patient trust. It is challenging when there is disagreement between the algorithm
2077 and the patient and root cause analysis stops short. It is not possible to know if there
2078 is an inherent error in the algorithm that might be corrected. Processes need to be in
2079 place such disagreements, such as an independent third party of a multi-disciplinary
2080 team meeting as would occur where there is clinical uncertainty.

2081
2082 There needs to be recognition though, that AI may be proven to be more accurate
2083 than a physician, and detect features humans cannot, as demonstrated by an
2084 algorithm being able to identify sex from fundus photographs (Poplin et al. 2018).
2085 Thus it becomes harder to adjudicate between the clinician and AI, when the
2086 adjudicator will invariably be another clinician, in particular if the AI decision making
2087 process is unexplainable. In these cases, it may become unethical not to use AI,
2088 even though we do not fully understand how they work. It is unlikely though, that an
2089 individual algorithm will be able to replace the holistic role of a physician, and
2090 increasingly the role of the physician could evolve the use of AI for specific tasks,
2091 and digest the various outputs to collectively to manage the patient.

2092
2093 Education on the use and appraisal of AI systems should be incorporated into
2094 medical school programs, and clinicians already in practice will need training to
2095 facilitate its adoption when the technology reaches maturation for clinical practice.
2096 Technically able staff who would not form part of existing human resources will need
2097 to be recruited, and work with clinicians to champion adoption. In cases of poor
2098 image quality, automated processes may be able to enhance those images and
2099 enable their reading by the algorithm. However, those with residual artefacts will
2100 remain ungradable and require referral to a clinician.

2101
2102 Early AI algorithms were tested on images collected in the clinical trials setting with
2103 strict inclusion and exclusion criteria (Burlina et al. 2017). The real-world validation of
2104 diagnostic performance and therapeutic decision-making of AI algorithms still need
2105 to be tested with large-scale unfiltered clinical data.

2106
2107 There are still other important issues to explore like patients’ acceptance and
2108 confidence in AI; the reproducibility, reliability, and usability of AI; and medico-legal
2109 challenges before DL algorithms can be deployed in the clinical workflow of diabetic
2110 eye disease screening programs (Cheung et al. 2019). DR diagnostic and screening
2111 algorithms vary greatly, and before any adoption in clinical practice, due
2112 consideration must be given to the training datasets and validation, and the intended
2113 use, in particular, the degree of autonomy the algorithms were designed to have
2114 (Abramoff et al. 2020).

2115

2116 The legal ramifications of adopting AI still needs to be clarified. The American
 2117 Medical Association (AMA) advocates that in case of system failure or misdiagnosis
 2118 from autonomous AI systems, that the developers must take liability and maintain
 2119 their own medical liability insurance with their users (American Medical Association
 2120 2019). However there is still no clear regulation around this, particularly when AI is
 2121 assistive, and the clinician makes the final diagnosis and management plan with
 2122 input from the algorithms.

2123

2124 AI has not yet matured to reach a stage of being able to diagnose and manage
 2125 patients without human input. At present, where AI is in clinical and experimental use,
 2126 it is in an assistive role, with clinician oversight and ultimate responsibility. This
 2127 distinction between assistive and autonomous functions has clear ramifications for
 2128 ultimate responsibility.

2129

2130 Data integrity, protection and cyber security will need to be continually addressed
 2131 and enhanced. Broadly speaking, there are two types of data in AI which fall under
 2132 different regulatory requirements: training data and testing data. Training data used
 2133 in the development of algorithm should always follow the standard Institutional
 2134 Review Boards (IRB) ethics approval protocol to de-identify and anonymise the data.
 2135 The data used from patients thereafter for testing should follow the country specific
 2136 regulation on medical data, such as Health Insurance Portability and Accountability
 2137 Act (HIPAA) in the US and General Data Protection Regulation (GDPR) in the
 2138 European Union. The transfer of data between countries is heavily regulated and
 2139 often challenging. For example, GDPR prohibits the transfer of personal data to
 2140 countries outside the European Economic Area (EEA) with certain exemptions apply,
 2141 such as appropriate safeguards or the country in question has been deemed by the
 2142 European Commission that the adequate standard of protection has been met.

2143

2144 There are concerns of the implications of other data being inadvertently captured,
 2145 since a fundus photograph may be rich in data that humans cannot interpret, and
 2146 could reveal different characteristics or information such as gender, cancer, or
 2147 cardiovascular disease. However, for such data to be interpreted, it needs to be
 2148 analysed specifically by an algorithm for that purpose, and this ultimately remains a
 2149 responsibility for AI service providers to comply with all local data and cybersecurity
 2150 regulations.

2151

2152 **5.3 Equity of access**

2153

2154 Telemedicine and AI offer an opportunity to provide a limited and valuable resource
 2155 – that of the physician's time and skillset – to a wider population in a more accessible
 2156 way. It can potentially reduce health inequities, a fundamental principle of medical
 2157 ethics, but there must be careful consideration of the design of services and
 2158 algorithms, as well as their implementation in order to achieve this.

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Such a sudden move to virtual care as necessitated by the pandemic has given little time to address the challenges to those who are excluded, and indeed identify those patients in the first instance. There needs to be urgent work in this arena, recognising that the causes are multi-faceted, relating to demographics, individual skill, current health status, access to infrastructure as well as training and support (Levin-Zamir and Bertschi 2018). There needs to be recognition that the accessibility of virtual healthcare systems is fluid, and that patients may encounter different challenges at different times. For example, a technology savvy patient with good dexterity and high-speed internet connection may find it hard to navigate a webpage if they have blurred vision. Similarly, with some guidance and support, patients with limited computer literacy might be able to successfully access online platforms with simple and intuitively-designed user interfaces.

There is emerging data on patient demographics in the use of teleconsultations during the pandemic. Over 9 million Medicare beneficiaries received telehealth services in three months from Mid-March. Interestingly 22% of the beneficiaries used telehealth services in rural areas in contrast to 30% of those from urban areas (Verma 2020). The Centre of Medicare and Medicaid (CMS) reports that beneficiaries are receiving telemedicine services across age-groups (34% below 65 years, and 29% above 85 year), with no significant differences by race or ethnicity (Verma 2020).

Discrimination by AI – AI can be built into EHR, and when patients are booked, it can predict the likelihood of a patient not attending, and compensate for that with an overbooking. However, if algorithm is wrong and patient shows up, then less time is available with potential poor quality of care. Patients who frequently fail to show for appointments often have more complex medical and psychosocial needs, and can potentially come to more harm, thus propagating health inequities. The algorithm utilises personal characteristics such as ethnicity, socio-economic status, religion, body mass index, and may further marginalise those who are already vulnerable. Even removing personal characteristics modelling has demonstrated that the potential for discrimination could not be removed (Murray, Wachter, and Cucina 2020). Of course reducing wasted appointments increases efficiency, but may inadvertently potentiate the challenges for the vulnerable. The ‘black box’ nature of AI obscure the reason behind labelling a patient as high risk for not attending which makes it difficult to remove the underlying obstacles. However those at risk of missing appointments as identified by AI does not need to translate to discrimination. Rather it can be used to identify and support vulnerable patients who struggle for various reasons to attend. If EHR reminded clinicians that a patient may miss their follow-up, measures can be taken such as offering a virtual appointment, engage in suitable local community support services which offer support with transport and other social services.

2203 Whilst AI might appear to be objective, biases can be inherent in the algorithms
2204 (Gianfrancesco et al. 2018; Rajkomar et al. 2018). Inherent to ML is that the
2205 algorithm learns from historic data and those under-represented in these data sets
2206 may suffer from inaccurate diagnoses, and this to a larger extent is why validation
2207 using real-world data is important. The design of these algorithms and their
2208 introduction into clinical practice should incorporate the principles of equity, so that
2209 the output does no harm. Proactive steps can be taken at each step of the data
2210 collection, training and evaluation stages, such as broad stakeholder engagement,
2211 ensuring data represents the protected groups and that such data is identifiable to
2212 guard against cohort bias, and formulate systems to continually evaluate key metrics
2213 across different groups (Rajkomar et al. 2018). Excessive reliance on algorithms
2214 without thoughtful ongoing assessment could see existing inequities merely reflected
2215 and even exacerbated (Gianfrancesco et al. 2018).

2216

2217 **6. Future research and recommendation on digital innovations**

2218

2219 With the rapid advancement in digital technology, including EHR, smartphone and
2220 4G/5G technologies, tele-health is likely to pave the way for assessment and
2221 management in the field of ophthalmology. In order for a comprehensive and robust
2222 teleophthalmology platform to thrive, a well-planned eye care delivery system must
2223 exist that considers the resources that are available in specific regions.

2224

2225 In 2018, the AAO Telemedicine Task Force published an information statement
2226 regarding the development and implementation of teleophthalmology, including
2227 validation of a teleophthalmology programme against a reference standard,
2228 requirement and standards of data acquisition and communication devices,
2229 competency and qualification of involved personnel, quality assurance, and data
2230 protection (American Academy Ophthalmology (AAO) Telemedicine Task Force
2231 2018). In principle, it is recommended that a tele-health programme should be
2232 implemented and integrated with evidence-based clinical practices where traditional
2233 process of care is already established (American Academy Ophthalmology (AAO)
2234 Telemedicine Task Force 2018). Successful teleophthalmology examples such as
2235 screening and monitoring of DR, AMD, ROP and glaucoma have already been
2236 reported in several countries (Labiris, Panagiotopoulou, and Kozobolis 2018;
2237 Scanlon 2017; Kirkizlar et al. 2013).

2238

2239 Cost and manpower remain the foremost challenges in establishing a successful
2240 teleophthalmology programme. Training of allied health professionals, including
2241 nurses, optometrists and technicians, by the ophthalmologists would help to share
2242 the overall workload of teleophthalmology, allowing the ophthalmologists to manage
2243 more complex cases. This model has already been adopted in several resource-rich
2244 countries for delivering a range of eye care service, including DR, glaucoma and
2245 cataract (Scanlon 2017; Kotecha, Brookes, and Foster 2017; Azuara-Blanco et al.
2246 2007; Kirkwood et al. 2006).

2247

2248 The pyramidal 5-tier model of eye care delivery developed by L V Prasad Eye
2249 Institute (LVPEI) may serve as a sustainable and cost-effective framework for
2250 integrating tele-health technology in providing eye care and mass screening in
2251 underserved rural areas (Rao et al. 2012). This model is operated by a diverse
2252 cohort of eye care personnel, ranging from local volunteers, optometrists,
2253 technicians, ophthalmologists, allied health professionals involved in visual
2254 rehabilitation, eye banking, health advocacy workers and researchers. A similar
2255 model was also reported in China using a 3-tier eye care delivery service for
2256 screening and stratifying the severity of cataract(Wu et al. 2019). However, these
2257 models could only be successfully delivered and implemented if all personnel are
2258 fully trained and accredited by ophthalmologists or relevant accrediting agency for
2259 performing the specific given task. The replicability of these frameworks may also
2260 vary from country to country due to cultural differences.

2261

2262 Understanding the prevalence of the common ocular diseases at a national public
2263 health level, country-specific, is paramount as it helps policymakers and relevant
2264 stakeholders to maximise the cost-effectiveness of the tele-medicine programmes by
2265 targeting highly prevalent diseases. In addition, common diseases that are
2266 dependent on image-based diagnosis with universally agreed-upon, evidence-based
2267 classifications (e.g. DR, AMD, glaucoma and cataract) should also be prioritised in
2268 the set-up of teleophthalmology programmes. The data derived from tele-health may
2269 also be harnessed to generate big data research and to offer more diverse
2270 information such as patient journey education and disease progression forecasting
2271 (McCall 2020). Aspiring to health equality and protection of vulnerable groups should
2272 be a key consideration in every stage of digital innovation and implementation.

2273

2274 The existing digital technologies are predominantly focussed on diagnosis. AI of the
2275 future can increasingly play a role in the guidance of treatment, such as prediction of
2276 how likely patients are to respond to treatments such as intra-vitreous injections in wet
2277 AMD or DMO. Increasing use of AI in the prediction of refractive outcomes following
2278 cataract surgery can help refine lens selection. For children requiring patching or
2279 those requiring accommodation exercises, digital solutions may be able to help
2280 adherence to treatments, with gamification and introduction of incentives for
2281 compliance, although debate will exist around if such use of technology is desirable
2282 for children.

2283

2284 Recently, ML associating perimetric cone sensitivities to local OCT in patients with
2285 retinitis pigmentosa was applied to predict visual function in Lebers congenital
2286 amaurosis (LCA) (Sumaroka et al. 2019). Though the training dataset was small,
2287 cone vision improvement potential in some LCA was shown to be predictable. This
2288 may permit individual prediction of likely response to treatments and influence
2289 selection to clinical trials so that those with maximal potential gains are selected.

2290

2291 Increasingly, isolated algorithms will integrate data from across modalities, and
2292 across disciplines. The utilisation of multi-modal imaging is important for specific
2293 diagnosis (for e.g., determination of the neovascular AMD subtype, diagnosis of
2294 glaucoma and etc). Multi-modal machine learning can be used to evaluate whether
2295 the predictive or diagnostic power of the AI algorithms will increase with the addition
2296 of more imaging modalities. Additionally, data from history, and other metrics such
2297 as blood pressure HbA1c can be used to increase the predictive power of the
2298 algorithms, and data collected from other specialities such as endocrinology and
2299 rheumatology could contribute.

2300

2301 Multi-modal inputs may be help improve the diagnostic and predictive power of AI
2302 systems, and move closer to simulating the decision-making process of a clinician,
2303 but deployment of such multi-modal algorithms in the real-world setting can be
2304 difficult. If the AI has been trained using the ground truth generated by a multi-modal
2305 imaging and additional biomarkers but during clinical use only a limited data is
2306 collected, then that algorithm may not be applicable. Therefore a balance needs to
2307 be achieved between what is practical for routine clinical use versus a complex
2308 algorithm that incorporates multiple inputs.

2309

2310 AI may also play a role in interpreting genetic diseases, such as those with variable
2311 expressivity and phenotypes. DL has been applied in genomics but still remains in its
2312 infancy. There have been studies that have shown some success with various -
2313 omics data, including prediction of expression (Chen et al. 2016), prediction of drug
2314 response in cell lines (Sakellaropoulos et al. 2019), prediction of tissue-of-origin and
2315 cancer type (Sakellaropoulos et al. 2019), and prediction of DNA function from
2316 sequence alone (Quang and Xie 2016). DL models are still in its infancy for study of
2317 genomics and none has been validated in clinical practice. Multiple generic
2318 challenges exist, such as the lack of explainable AI, balanced datasets representing
2319 both disease and healthy states, and integration of heterogeneous data, which is
2320 akin to some of the challenges presented by multi-modal algorithms discussed
2321 above (Koumakis 2020).

2322

2323 Medical schools and medical training programmes also need to adapt and
2324 incorporate understanding of digital innovations into training. Clinicians should learn
2325 to interpret studies on areas such as AI or DL algorithms (Ting, Lee, and Wong 2019)
2326 to know if and when such technologies would be suitable for their practice. Medical
2327 students should also learn to conduct remote consultations, be that video or
2328 telephone based only. Without the patient being physically present, the focus of
2329 consultations changes somewhat with the importance of excluding pathologies that
2330 require in person assessment rather than simply managing the presenting complaint.
2331 Nuanced changes to communication strategies need also to be developed adapted
2332 for virtual consultations, and clinicians need to develop at least some basic
2333 understanding of the technical aspect of each platform to enable simple trouble-
2334 shooting for new users. Finally patient attitudes need to be studied whilst recognising

2335 these will evolve, as any reaction to something novel. Education driven by evidence
 2336 and not politics or other motivations, communicated effectively to reach a wide
 2337 audience will be crucial in influencing patients to make their own considered
 2338 decisions.

2339

2340 Conclusions

2341

2342 Myriad innovations have created a milieu ripe for telemedicine in ophthalmology to
 2343 thrive and COVID-19 has hastened the development and embracement of these
 2344 digital technologies. The growing AI and telecommunications technologies can
 2345 potentially transform the delivery of the data-rich and image-dependent specialty of
 2346 ophthalmology globally. 5G, IoT and AI are starting to be introduced into
 2347 ophthalmology, but the potential for reliably linked machines such as OCTs and
 2348 fundus cameras and algorithms changing ophthalmic service delivery is significant,
 2349 and is likely to become more prevalent as the 5G network coverages grows,
 2350 enabling a more mature IoT. These technologies may be able to make key
 2351 contributions towards the provision of quality, sustainable eye care to all patients,
 2352 and experiences from the pandemic has revealed the utility of telemedicine even in
 2353 well-resourced and densely populated. Challenges associated with implementation
 2354 of these technologies remain, including validation, patient acceptance, and education
 2355 and training of end-users on these technologies. Physicians must continue to adapt
 2356 to the changing models of care delivery, and collaborate with broader teams
 2357 involving technology experts and data scientists to achieve universal quality and
 2358 sustainable ophthalmic services.

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2361 References

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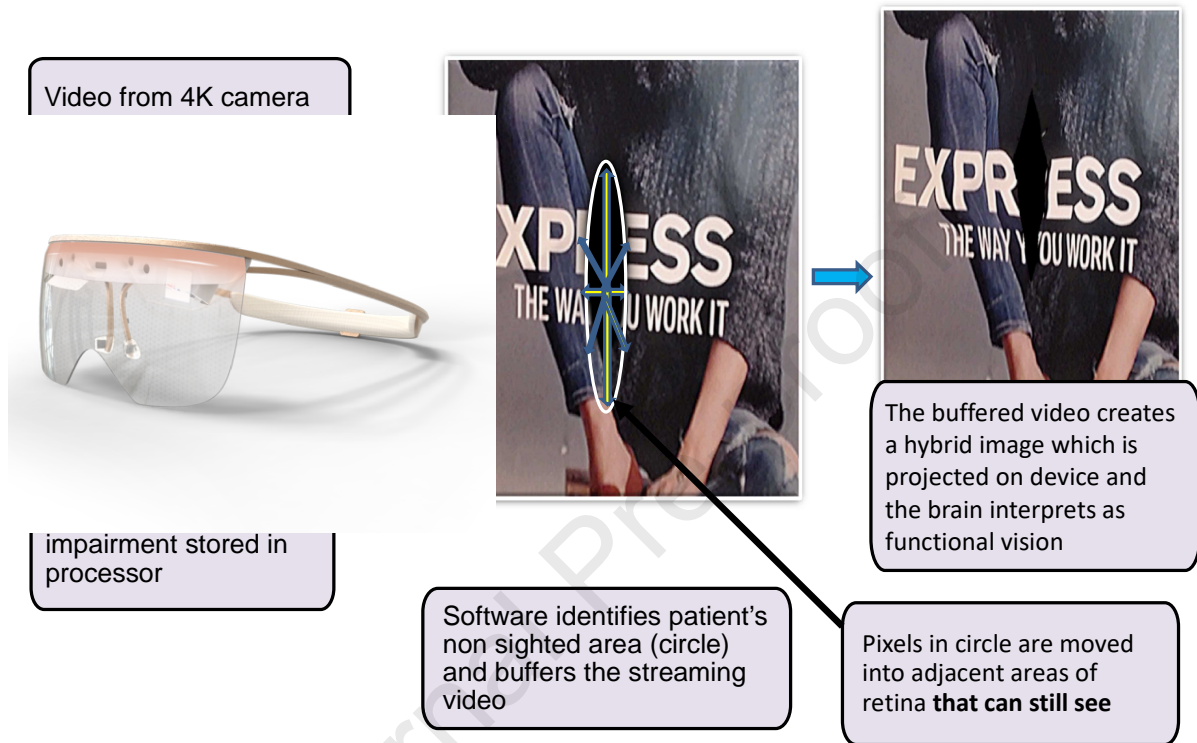
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 3504

3505 **Tables and Figures**

3506

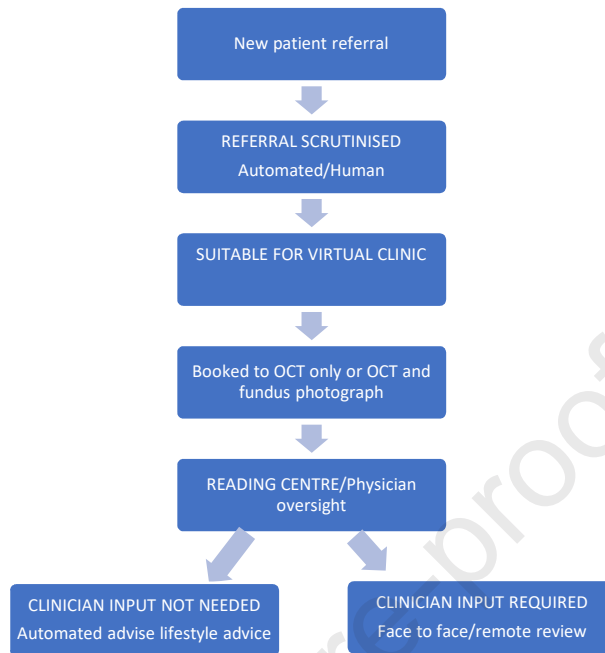
3507 **Figure 1. Customized image displacement onto functional retina by the**
3508 **Oculenz Augmented Reality Headset for patients with visual impairment from**
3509 **macular degeneration.**



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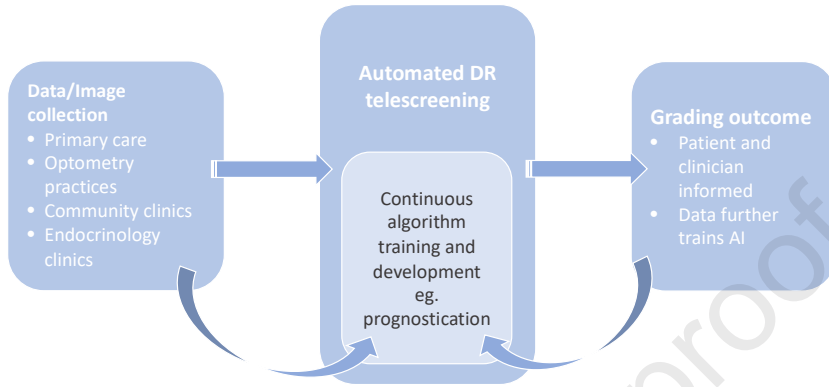
3512 **Figure 2.** Example of semi-automated remote triage workflow for medical retina.
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3517 **Figure 3. The role of AI in supporting tele-screening in DR, and the reciprocal**
3518 **contribution by tele-screening processes in improving AI algorithm**
3519 **performance and development of new capabilities.**



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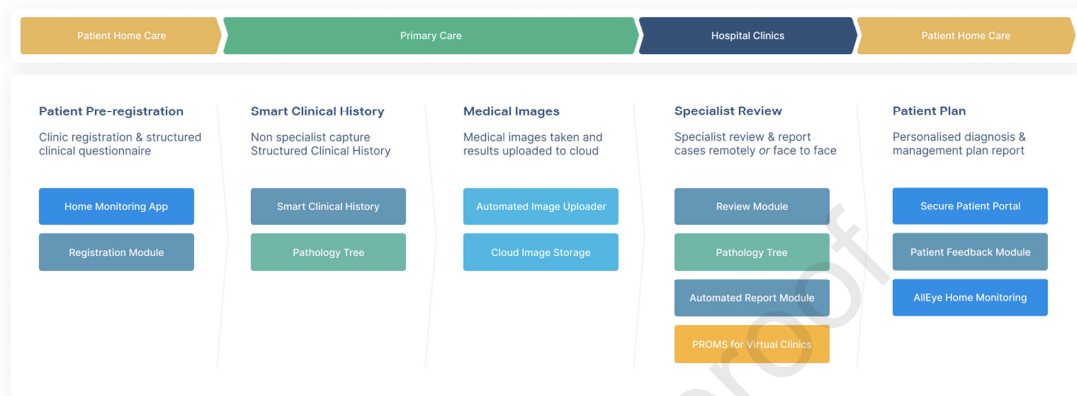
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Figure 4. Streamlined patient journey with a single platform. Courtesy of Big Picture Eye Health.

Key elements of Big Picture's Platform



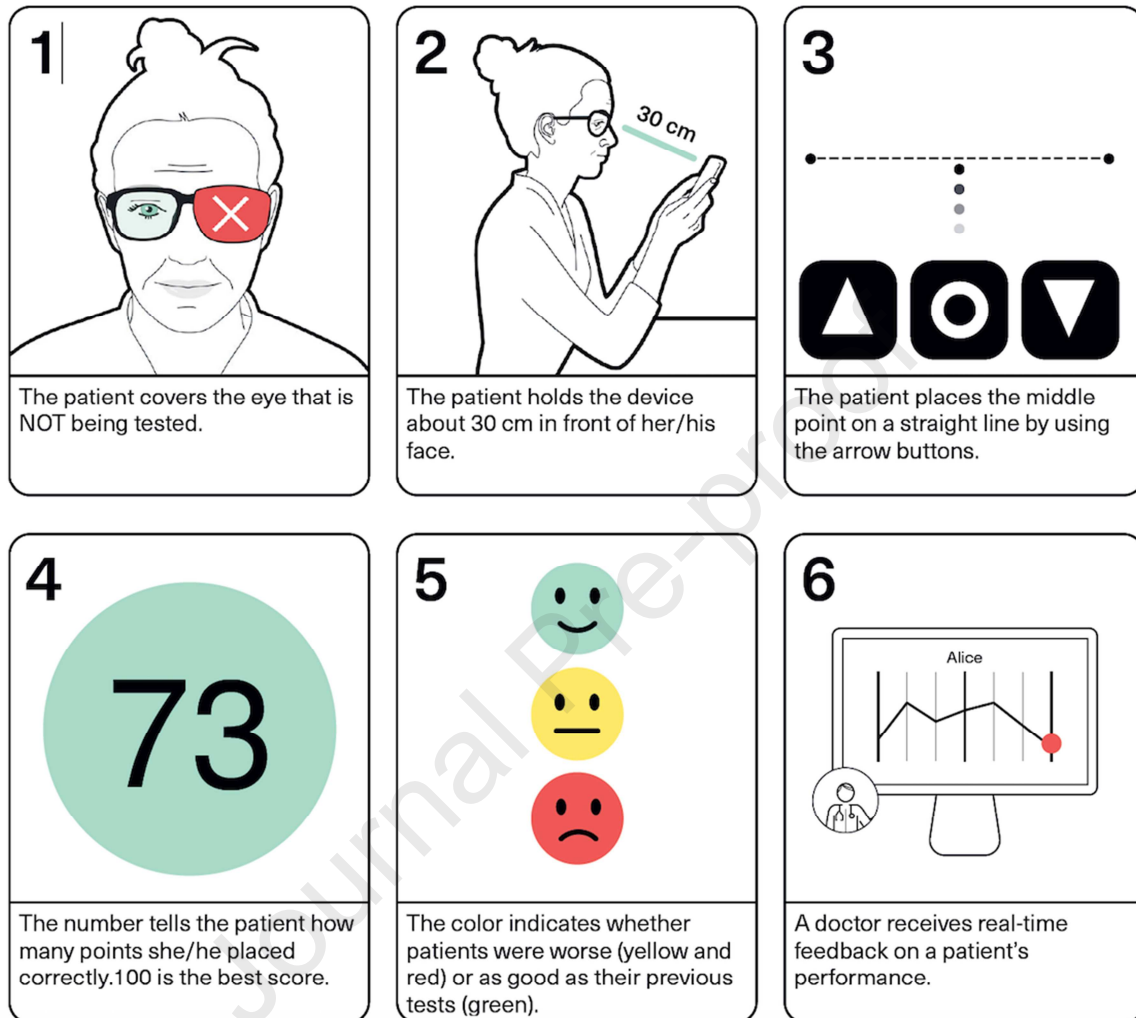
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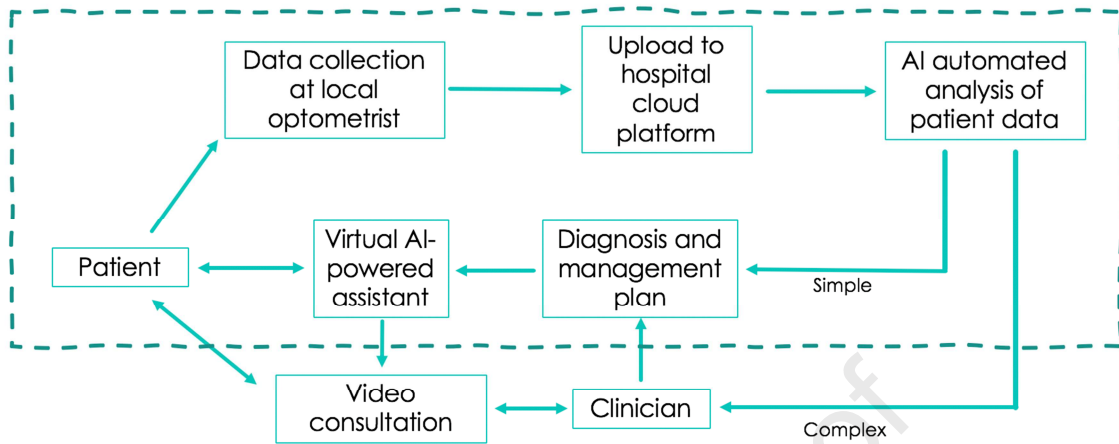
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Figure 5. Illustration demonstrating how the Alleye™ application works. Courtesy of Alleye.



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3536 **Figure 6. Smart healthcare telemedicine service. Courtesy of Mr Peter Thomas.**
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3540 The dash box refers to automated pathway, which could proceed without an
 3541 ophthalmologist reviewing the case and images.

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3543 Example of 'simple' case: dry AMD diagnosed and recorded but no clinical action
 3544 required and clinician oversight not required.

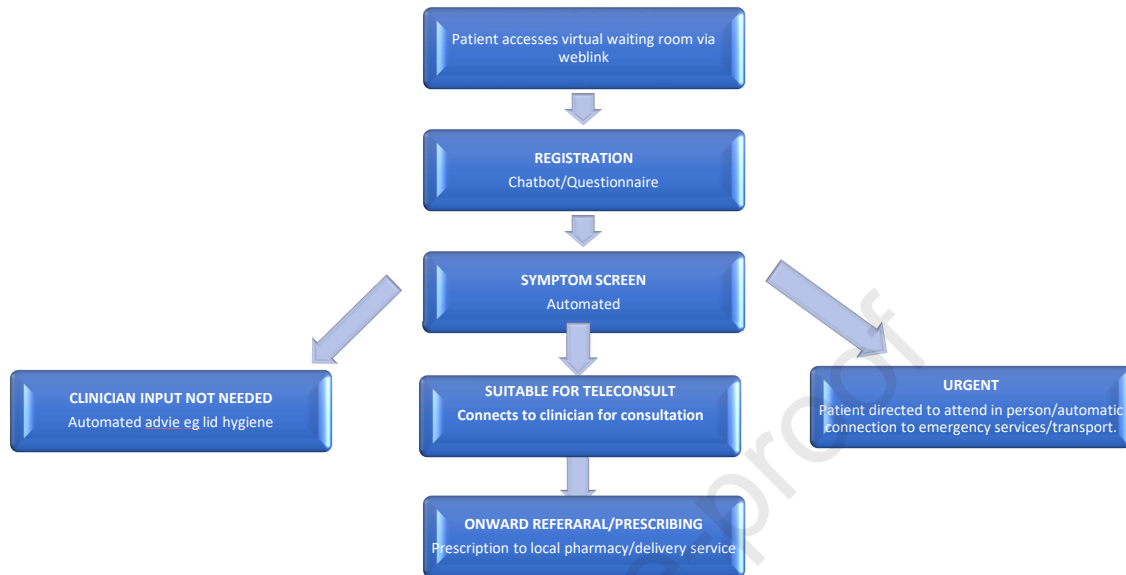
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3546 Example of 'complex case: macular hole potentially suitable for surgery, with
 3547 clinician alerted and further clinical decision to be made.

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Figure 7. Example of semi-automated remote triage workflow for emergency ophthalmology.



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TABLES

Table 1. Countries, their national screening strategies and the adoption of tele-screening and artificial intelligence in diabetic retinopathy screening.

| Countries | Screening sites | Number of screening sites | Retinal photographer | Width of views | Number of Fields | Graders of retinal images | Turnover time of grading |
|--|--|----------------------------------|---------------------------------|-----------------------|-------------------------|--|---------------------------------|
| <i>Australia (Atkinson-Briggs et al. 2019; Moynihan and Turner 2017)</i> | Optometry and ophthalmology clinics, primary care | 17 (Kimberley DR screening) | Nurses and other health workers | 30° (Kimberley) | 1 (KDEHC) | Retinal specialists | variable |
| <i>Zambia (Lewis et al. 2018)</i> | Mobile van screening (single province) | 1 van, 5 sites | Trained technicians | 45°x40° | 2 | Nurses and non-medical trained graders | |
| <i>South Africa (Khan et al. 2013)</i> | Community centres with mobile camera transported between sites (pilot) | | Trained technicians | | | Medical officer with ophthalmic experience | |
| <i>Tanzania (Cleland et al. 2016)</i> | Mobile screening (single region) (pilot) | Covers 18 health facilities | Trained technicians | 45° | 2 | Ophthalmology residents | 2 weeks |

| | | | | | | | |
|---|--|--|----------------------|-----|---|---|----------|
| <i>China (Jia et al. 2019)</i> | | | Trained technicians | 45° | 2 | Professional graders, ophthalmologists | |
| <i>Singapore (Nguyen et al. 2016)</i> | Primary care facilities/polyclinics, hospitals, optometrists | 20 | Nurses | 45° | 2 | trained and accredited grading technicians | <1hr |
| <i>England, United Kingdom (Scanlon 2017)</i> | Fixed or mobile, optometry practices, eye clinics, hospitals | 1500 graders, 62 digital screening providers | Grader/clinical lead | 45° | 2 | Non-clinical technicians/optometrists/nurses, all supported by an ophthalmologist, usually retinal specialist | <3weeks |
| <i>United States (Tozer, Woodward, and Newman-Casey 2015)</i> | Primary care clinics, endocrinology clinics | 88 fixed, 10 portable sites across 25 states (JVN) | Certified technician | 45° | 3 | Automated diagnosis with ophthalmologist validation | <4 weeks |

Table 2: The summary of the artificial intelligence systems with the respective training datasets and diagnostic performance for different retinal diseases using fundus photographs.

| <i>AI systems</i> | <i>Year</i> | <i>Disease</i> | <i>Imaging modality</i> | <i>Race</i> | <i>Clinical Validation</i> | <i>Independent testing datasets (retinal images)</i> | <i>AUC</i> | <i>Sensitivity</i> | <i>Specificity</i> |
|---|-------------|----------------------------------|-------------------------|--|----------------------------|--|------------|--------------------|--------------------|
| Diabetic Retinopathy | | | | | | | | | |
| <i>Abramoff et al (Abramoff et al. 2016)</i> | 2016 | Referable DR (worse than any DR) | Fundus photo | White | Messidor-2 | 874 | 0.98 | 96.80% | 87.00% |
| <i>Gulshan et al (Gulshan et al. 2016)</i> | 2016 | Referable DR | Fundus photo | White | EyePACS-1 | 9963 | 0.991 | 97.50% | 93.40% |
| | | | | White | Messidor-2 | 1748 | 0.94 | 96.10% | 93.90% |
| <i>Gargeya and Leng (Gargeya and Leng 2017)</i> | 2017 | Referable DR | Fundus photo | White | Messidor-2 | -- | 0.99 | -- | -- |
| | | | | White | E-Ophtha | -- | 0.96 | -- | -- |
| <i>Ting et al (Ting, Cheung, et al. 2017)</i> | 2017 | Referable DR | Fundus photo | Asians (Chinese, Malays, Indians and others) | SiDRP 14-15 | 35,948 | 0.94 | 90.50% | 91.60% |
| | | | | Chinese | Guangdong | 15,798 | 0.949 | 98.70% | 81.60% |
| | | | | Malay | SIMES | 3052 | 0.889 | 97.10% | 82% |

| | | | | | | | | | |
|--|------|----------------------------------|--------------|-----------|----------------------------|--------------|----------------|----------------|----------------|
| | | | | Indians | SINDI | 4512 | 0.917 | 99.30% | 73.30% |
| | | | | Chinese | SCES | 1936 | 0.919 | 100% | 76.30% |
| | | | | Chinese | BES | 1052 | 0.929 | 94.40% | 88.50% |
| | | | | African | AFEDS | 1968 | 0.98 | 98.80% | 86.50% |
| | | | | White | RVEEH | 2302 | 0.983 | 98.90% | 92.20% |
| | | | | Hispanics | Mexican | 1172 | 0.95 | 91.80% | 84.80% |
| | | | | Chinese | CUHK | 1254 | 0.948 | 99.30% | 83.10% |
| | | | | Chinese | HKU | 7706 | 0.964 | 100% | 81.30% |
| <i>Krause et al (Krause et al. 2018)</i> | 2018 | Referable DR | Fundus photo | White | EyePACS-2* | -- | 0.986 | 97.10% | 92.3%* |
| <i>Abramoff et al (Abramoff et al. 2018)</i> | 2018 | Referable DR (worse than any DR) | Fundus photo | White | FDA Pivotal Trial | 892 | - | 87.20% | 90.70% |
| <i>Li et al (Li, Keel, et al. 2018)</i> | 2018 | Referable DR | Fundus photo | Chinese | ZhongShan | 8,000 | 0.989 | 97.00% | 91.40% |
| | | | | | NIEHS | 7,181 | 0.955 | 92.50% | 98.50% |
| | | | | | SIMES | 15,679 | | | |
| | | | | | AusDiab | 12,341 | | | |
| <i>Ruamviboonsuk et al (Ruamviboonsuk et al. 2019)</i> | 2019 | Referable DR | Fundus photo | Thai | Thailand Diabetes Registry | 25,326 | 0.987 | 96.8% | 95.6% |
| <i>Gulshan et al (Gulshan et al. 2019)</i> | 2019 | Referable DR | Fundus photo | Indian | Sankara Aravind | 3779 1983 | 0.980 0.963 | 92.1% 88.9% | 95.2% 92.2% |

| Glaucoma | | | | | | | | | |
|--|------|---|-------------------------|-----------------------------------|---------------------------|------------------------|-----------|-----------------------|------------------------|
| <i>Li et al (Li, He, et al. 2018)</i> | 2018 | CDR ³ 0.7 and glaucomatous changes | Fundus photo | Chinese | LabelMe | 8,000 | 0.986 | 95.60% | 92.00% |
| <i>Ting et al (Ting, Cheung, et al. 2017)</i> | 2017 | CDR ³ 0.8 and glaucomatous changes | Fundus photo | Chinese, Malay, Indian and others | SiDRP 14-15 | 71,896 | 0.942 | 96.40% | 87.20% |
| <i>Shibata et al (Shibata et al. 2018)</i> | 2018 | Glaucoma | Fundus photo | Japanese | Matsue Red Cross Hospital | 110 | 0.965 | NR | NR |
| <i>Masumoto et al (Masumoto et al. 2018b)</i> | 2018 | Glaucoma | Wide-field fundus photo | Japanese | Tsukazaki Hospital | 282 | 0.872 | 81.30% | 80.20% |
| AMD | | | | | | | | | |
| <i>Burlina et al (Burlina et al. 2017)</i> | 2017 | Referable AMD | Fundus photo | White | AREDS 1 | 26764 images (AREDS 2) | 0.94-0.96 | 71.00-88.40% | 91.40-94.10% |
| <i>Ting et al (Ting, Cheung, et al. 2017)</i> | 2017 | Referable AMD | Fundus photo | Chinese, Malay, Indian and others | SiDRP 14-15 | 71896 | 0.931 | 93.20% | 88.70% |
| <i>Grassmann et al (Grassmann et al. 2018)</i> | 2018 | Any AMD | Fundus photo | White | AREDS 1 | 33886 | | 100% (Late Stage AMD) | 96.5% (Late Stage AMD) |
| ROP | | | | | | | | | |

| | | | | | | | | | |
|--|------|-----|--------------|-------|-------|-----|--|--|--|
| <i>Brown et al</i> (<i>Brown et al.</i> 2018) | 2018 | ROP | Retcam photo | White | i-ROP | 100 | | 93.0% (plus) 100% (pre- plus/ worse) | 94% (plus) 94% (pre- plus/worse) |
|--|------|-----|--------------|-------|-------|-----|--|--|--|

CDR cup-disc ratio, AUC area under the curve, U unknow

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Table 3. Digital home vision monitoring devices.

| <i>Device</i> | <i>Type of device</i> | <i>Type of test</i> | <i>Function</i> | <i>Clinical application</i> |
|---|-----------------------|--|--|---|
| <i>ForeseeHome Notal Vision, Inc.</i> | Desktop device | Preferential hyperacuity perimetry (PHP) central 14 degrees of field | Self-testing for AMD (Loewenstein et al. 2003) | FDA approved, covered by Medicare |
| <i>myVisiontrack® Genentech</i> | Mobile device | Shape discrimination hyperacuity (SDH) test | Self-testing for AMD (Kaiser et al. 2013) | FDA approved for AMD and DME monitoring |
| <i>Alleye, Oculare Medical Inc.</i> | Mobile device | Hyperacuity: central 12 degrees of field | Self-testing for AMD: discriminates between dry and wet AMD (Schmid et al. 2018; Schmid et al. 2019) | FDA approved and CE-marked for vision monitoring in AMD |

Table 4: Artificial intelligence systems with their respective training datasets and diagnostic performance for macula and optic disc pathology using OCT.

| <i>AI systems</i> | <i>Year</i> | <i>Disease</i> | <i>Imaging modality</i> | <i>Race</i> | <i>Clinical Validation</i> | <i>Independent testing datasets (retinal images)</i> | <i>AUC</i> | <i>Sensitivity</i> | <i>Specificity</i> |
|--|-------------|--|-------------------------|-------------|----------------------------|--|-------------------------|--------------------|--------------------|
| Macula OCT | | | | | | | | | |
| <i>Lee et al (Lee, Baughman, and Lee 2017)</i> | 2017 | Exudative AMD | Spectralis OCT | White | Clinic-based | 20,613 | 0.928 | 84.60% | 91.50% |
| <i>Treder et al (Treder, Lauermann, and Eter 2018)</i> | 2018 | Exudative AMD | Spectralis OCT | White | Clinic-based | 100 | NR | 92% | 96% |
| <i>De Fauw et al (De Fauw et al. 2018)</i> | 2018 | Urgent, semi-urgent, routine, and observation only | Topcon OCT | White | Clinic-based | 997 | 0.992 (urgent referral) | Accuracy: 94.5% | |
| | | | Spectralis OCT | White | | 116 | 0.999 (urgent referral) | Accuracy: 96.6% | |
| <i>Schmidt-Erfurth et al (Schmidt-Erfurth, Bogunovic, et al. 2018)</i> | 2018 | AMD (Prediction of visual acuity) | Spectralis OCT | White | Harbor Clinical trial | 614 | Accuracy: R2=0.7 | | |

| Optic nerve OCT | | | | | | | | | |
|---|------|-------------------------------------|-----------------------------------|--------------------------------|---------------------|-------|------------|-----|-----|
| <i>Ran et al</i> (<i>Ran et al.</i> 2019) | 2019 | Glaucoma optic neuropathy (GON) | Cirrus OCT | Hong Kong Eye Hospital | 976 (3D) | 0.969 | 89% | 96% | |
| | | | | | 976 (2D) | 0.921 | 85% | 85% | |
| | | | | | Prince of Wales | 546 | 0.893 (3D) | 79% | 84% |
| | | | | | | | 0.770 (2D) | 72% | 75% |
| | | | | | Tuen Mun Eye Center | 267 | 0.897 (3D) | 90% | 79% |
| | | | | | | | 0.752 (2D) | 78% | 64% |
| <i>Medeiros et al</i> (<i>Medeiros, Jammal, and Thompson</i> 2019) | 2019 | Glaucomatous optic neuropathy (GON) | Optic disc photographs and SD-OCT | White Duke Glaucoma Repository | 6564 | 0.944 | 76% | 95% | |
| | | | | | | | 0.917 (3D) | 78% | 86% |
| | | | | | | | 0.888 (2D) | 84% | 66% |
| | | | | | | | 0.944 | 76% | 95% |
| | | | | | | | | 90% | 80% |
| | | | | | | | | | |

Table 5. Novel techniques in refractive error.

| Publication | Device or system | Year | Country | Number of subjects | Comparison with manifest refraction |
|---|---|-------------|----------------|---------------------------|--|
| <i>Gaiser H et al (Gaiser et al. 2013)</i> | A cell phone based refracting device (NETRA-G) | 2013 | England | 27 | Mean absolute error of 0.31 +/- 0.37D |
| <i>Ciuffreda KJ et al (Ciuffreda and Rosenfield 2015)</i> | A handheld, smartphone-based autorefractor (SVOne) | 2015 | U.S. | 50 | No significant difference |
| <i>Wisse RPL et al (Wisse et al. 2019)</i> | Online Refractive Evaluation Trial | 2019 | Netherlands | 100 | Intraclass correlation coefficient of 0.92 |
| <i>Tan TE et al (Tan et al. 2019)</i> | A deep learning system based on fundus photographs | 2019 | Singapore | 15,876 | Mean absolute error of 1.20D |
| <i>Varadarajan AV et al (Varadarajan et al. 2018)</i> | A deep learning algorithm based on fundus photographs | 2018 | England | 226,870 | Mean absolute error of 0.56D |

Table 6. Considerations and new models of care in post-COVID-19 “new normal”.

| Consideration | New models of care | Digital innovations considerations |
|---|--|--|
| <i>Social distancing</i> | Reduce clinic visit number and duration Utilize pre-hospital forward triage via telemedicine Minimum in-clinic touch points, assessments, tests, consults, pharmacy Re-evaluate clinic space norms Prioritize care (urgent, semi-urgent, routine, ‘unnecessary’) | Teleconsultations/5G Remote vision testing IoT for registration and appointment logistics AI-assisted triage Use of AR/VR for remote consultations |
| <i>Protecting health care workers</i> | Remote working through telemedicine Split teams Education and meetings held remotely | Staff up-skilling/re-training Restructuring of human resources |
| <i>Treating COVID-19 patients with eye diseases</i> | Forward triage to enable planning of in-person examination Early involvement of final clinical decision maker | AI-assisted triage |
| <i>Post lockdown surge management</i> | Increasing role of non-ophthalmologists Increasing workforce capacity through working from home | Teleconsultations/5G Remote EHR access |
| <i>Preparation for future peaks and pandemics</i> | Contingency planning Robust, reliable and secure telemedicine provision for entire patient journey Train more staff to utilize telemedicine platforms | Data security Network integrity Actively reduce digital exclusion |